

Information Notice

Elimination of Continuing Education Delivery at Testing Centers

Effective: July 1, 2016

Executive Summary

As announced in [Regulatory Notice 15-28](#), as part of the transition to CE Online, FINRA is phasing out test center delivery of the Regulatory Element of Continuing Education (CE). This change will become effective on July 1, 2016. As of that date, the option to complete the Regulatory Element at a Pearson VUE or a Prometric testing center will no longer be available, and participants with an open Regulatory Element window must complete their session using the FINRA CE Online System® with the exception of participants who, pursuant to the Americans with Disabilities Act (ADA), may need accommodations in completing their CE session due to a disability.

Questions about this *Notice* may be directed to FINRA's Gateway Call Center at (301) 590-6500.

Discussion

As of January 4, 2016, FINRA has offered all of the Regulatory Element Programs (S101, S106, S201 and S901) through the FINRA CE Online System. CE Online provides participants with the flexibility to satisfy their Regulatory Element requirement from a home or office computer.¹ During this transition, FINRA has offered participants the option to complete their session either using CE Online or at a Pearson VUE or Prometric testing center.

Effective July 1, 2016, the CE Regulatory Element Programs will no longer be available at the Pearson VUE and Prometric testing centers. Participants will be required to exclusively satisfy their CE Regulatory Element requirement via CE Online. However, pursuant to the ADA, participants who need an accommodation to complete their CE session via the FINRA CE Online System due to a disability should review [FINRA's CE Online Delivery Accommodation](#) Web page or contact FINRA's Special Accommodations team at (800) 999-6647, option 2 for further assistance.

May 16, 2016

Suggested Routing

- ▶ Compliance
- ▶ Legal
- ▶ Operations
- ▶ Registration
- ▶ Senior Management
- ▶ Training

Key Topics

- ▶ CE Online
- ▶ Continuing Education
- ▶ Fees
- ▶ FINRA CE Online System
- ▶ In-firm Delivery
- ▶ Proctors
- ▶ Regulatory Element
- ▶ Rules of Conduct
- ▶ Test-center Delivery
- ▶ Web-based Delivery

Referenced Rules & Notices

- ▶ Regulatory Notice 15-28

Endnote

1. Detailed information regarding CE Online, including the advantages of CE Online, is available on [FINRA's website](#).