



Request for Additional Time Based on Limited English Proficiency (LEP)

Please print clearly and complete this form in its entirety. FINRA will not process an incomplete form.

CANDIDATE INFORMATION AND ATTESTATION

Name: _____
Residential Mailing Address: _____
City: _____ State: _____ Zip Code: _____
Employment Address: _____
City: _____ State: _____ Zip Code: _____
Email Address: _____ Telephone: () _____
CRD # or FINRA ID #: _____ Exam / Series #(s): _____

*** FINRA will only process hard copy LEP forms for unsponsored candidates. If you are a sponsored (CRD) candidate, your firm must submit your LEP Request via Web CRD. Please contact your registration/compliance officer for more details. LEP is not available for the Securities Industry Essentials (SIE) exam.**

I hereby request additional time to complete the qualification exam(s) referenced above because I do not speak English as my primary language and I have limited ability to read, speak, write and understand the English language. I understand that any misrepresentation with respect to my LEP eligibility or any of the information provided on this form may result in a disciplinary action or other proceeding by FINRA, other self-regulatory organization, or state or bank regulator, as appropriate.

Signature: _____ **Date:** _____

FINRA MEMBER FIRM *OR SPONSORING ORGANIZATION INFORMATION AND ATTESTATION

Select box if there is no FINRA Member Firm or Sponsoring Organization

Firm/Organization Name: _____ Firm CRD # (if applicable): _____
Name/Title of Authorized Representative/FINRA registered principal or officer: _____
CRD # (if applicable): _____
Firm/Organization Address: _____
City: _____ State: _____ Zip Code: _____
Email Address: _____ Telephone: () _____

I represent and certify that, to the best of my knowledge, _____, the candidate identified above, has limited English proficiency. I understand that any misrepresentation with respect to this candidate's LEP status or any of the information provided on this form may result in a disciplinary action or other proceeding by FINRA, other self-regulatory organization, or state or bank regulator, as appropriate.

Signature: _____ **Date:** _____

*Note for FINRA Member Firms: The authorized representative signing this form must be a registered principal or officer of the firm.

Sponsored (CRD) Candidates – Your firm must submit your LEP Request via Web CRD. FINRA will not process a hard copy LEP form for sponsored, (CRD) candidates.

Unsponsored Candidates - Send the completed LEP Request to FINRA – Attention: LEP Support Services Team via: (1) email (only PDF file format will be accepted) to LEPRequest@finra.org; or (2) facsimile to (202) 689-3487.