

NON-EMPLOYEE DIRECT DEPOSIT ENROLLMENT FORM (Send Completed Form to Accounts Payable)

Important! Please read and sign below:

I hereby authorize FINRA® to deposit funds to the designated financial institution and **personal** account indicated on this form below. I further authorize FINRA to debit my **personal** account at the financial institution listed below in the event that FINRA deposits funds erroneously into my account for an amount not to exceed the original amount of the erroneous credit.

Please note: these direct deposits are for personal banking accounts only and can not be used for business or company accounts.

It is the responsibility of the non-employee to verify the banking information for accuracy. You must notify Accounts Payable at (240) 386-5384 immediately if you find a discrepancy or require an account change.

ACCOUNTS PAYABLE (Note: AP Deposits cannot be split between two bank accounts.)

Check below, as applicable:

- ☐ Begin Deposit ☐ Change Information ☐ Cancel Direct Deposit
- ☐ Checking ** Attach *VOIDED* Check

Bank Name _____ City _____ State _____

Transit ABA Number (9-digits) _____ Account Number _____

Neutral
Name: _____

Primary Phone #: _____

Neutral ID Number: A# _____

**Please contact the following person for correct A#:
Catina Daniels - (212) 858-4351

Primary Address: (for deposit notification)

Date: _____

Email address: _____

Non-Employee's
Signature: _____

Mail form and voided check to:

The Office of Dispute Resolution
Neutral Management
One Liberty Plaza
165 Broadway
New York, NY 10006

FOR AP USE ONLY:

Date _____

AP Processor _____