From: To:

Comments, Public

Subject: Al and FINRA | comments

Date: Thursday, August 27, 2020 8:32:03 PM

Attachments: Lisa Miller.res.HO.7.2020.doc

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The lines around supervision and responsibility must be clear when injecting AI into professional practice.

Security safeguards are paramount: it's tough to know where the data that AI is seeing has come from and how reliable it is or isn't.

Because data sets are made of historical data, they often include historical bias. We are all committed to eradicating bias in the industry. How to reconcile these 2 seemingly incompatible facts?

New ethics rules will need to be developed to address ethical use of AI.

Thank you for the opportunity to comment. If you have an AI study group, please include me.

Best, Prof. Lisa Miller, Esq. Public Arbitrator