

From: [Ian Pullan](#)
To: [Comments, Public](#)
Subject: Comment on Regulatory Notice 26-15: Best Execution and FINRA Rule 5310
Date: Wednesday, September 23, 2026 11:37:57 AM

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Dear Ms. Mitchell,

I am an individual investor. I am writing about Regulatory Notice 26-15 and FINRA's request for comment on modernizing its best execution guidance under Rule 5310. It asks whether order-by-order review of internalized orders should still be required, whether a firm's own order router can count as the review of that router, and whether there should be safe harbors for documented procedures. Order-by-order review is the only standard under which the price I actually receive is what gets reviewed. Relaxing it would remove the last point at which this rule touches my money.

I am asking FINRA to go the other way and pass 5310.

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