

**From:** [M.E](#)  
**To:** [Comments, Public](#)  
**Subject:** FINRA Requests Comment on Short Interest Position Reporting Enhancements and Other Changes Related to Short Sale Reporting  
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Hello,

I am writing questions concerning the way investors have been taken advantage of by Multiple Hedge Funds, Venture Capitalists and Limited Partners.

1st my understanding is that Finra The Financial Industry Regulatory Authority (**FINRA**) is an independent, nongovernmental organization that writes and enforces the rules governing registered brokers and broker-dealer firms in the United States.

Ok, with knowing this my other understanding is that on the board of directors are Ceo' CFO's and other Principal partners who own, work and Manage Hedge Funds and Brokerages. So, #1, my analogy to this is we are suppose to regulate the people who make yet are supposed to enforce rules. I hope you can see where that is going. #2 There are 4 types of prints. My main one is "Real Time Prints" which are already executed but do not go to market until a certain price is targeted. Now from experience I know that MOST investors can not see these and run in Dark Pools which are in non lit exchanges. Furthermore, where and how those shares came about as the average investors are now at a major disadvantage due to the lack of transparency not know they will have their positions pummeled down by these orders lowering the price of their investment. #3 "Late Sell Prints" which are usually 24 or more hours late. Once again no transparency to retail investors. #4 and this one is gonna be the one I am most concerned with and I will kind of lay it out for you hoping you understand how this can be ready dangerous to the financial markets and deviation to the economy if there is no transparency with Naked Short Selling. Example, We have a huge issue with paper, that causes clearing firm debacles. This has much to do with "Inventory".

It is my understanding, that we have something called DTCC sheets, now stay with me here...they can request Debt Files from the transfer agent securitys positions in the depository trust. Now we understand that, Banks have to show position transfer debt filings from the transfer agent. This shows every stock issued to every shareholder.

I hope this helps in my comments to understand that retail investors don't know the plumbing system behind agencies when the agencies are unwilling up until know to inforce sanctions, laws, rules and penalties beyond the cost of doing business for illegal, fraudulent and detrimental acts harmony the American Stock Market only to by slapped on the wrist or given a fine that equals .00001 to net profits they have made by these acts.

I propose that any investor who were affected by these unlawful acts be rewarded compensation for the harm this has caused!

Many lawsuits are in the making from retail investors about these actions and will be on the for front in the coming weeks.

I want to leave you one last quote: "**Insanity** is doing the same thing over and over and expecting different results." That witticism—I'll call it "**Einstein Insanity**"—is usually attributed to **Albert Einstein**.

Sincerely,  
Jason Horein, Ph. D.