

From: [Jerome May](#)
To: [Comments, Public](#)
Subject: Comment Regarding: Regulatory Notice 21-19
Date: Thursday, September 30, 2021 3:41:42 PM

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To FINRA,

Short interest by all Market Makers should be reported at the close of trading on the NYSE (or at the close of extended hours trading on the NYSE.) on a DAILY basis and reported PUBLICLY with a 24 hour delay (i.e., 8:00pm EST Tu-Sa for trading days M-F).

Fails-To-Deliver by Market Makers should be reported on a DAILY basis to FINRA and reported publicly with a 24 hour delay (same model as above).

If I buy a stock and the seller fails to deliver, I am IMMEDIATELY seeking remedy. It is Breach of Contract.

The DTCC was created and implemented in order to eliminate the delays in trade settlement due to exchanging Certificates.

That there are Fail-To-Deliver in any significant numbers at this point is a mark of failure of that system.

As an accredited investor, I find the FTD problem a MOST IMPORTANT concern about market integrity.

If Amazon or Target fails to deliver goods that I have ordered, they are immediately accountable for the remedy

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That major market participants may continuously and frequently Fail To Deliver to sales contracts on on ongoing basis

WITHOUT PENALTY, is a disgrace to your organization
and must be penalized severely by the Financial Regulatory authorities.

Respectfully,

Mr. Jerome May
Rochester, NY USA