

From: [Kellie Chase](#)
To: pubcom@finra.org
Subject: Comment for Reg Notice 25-05
Date: Thursday, May 15, 2025 2:21:21 PM

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I missed the deadline to submit comments in response to FINRA Regulatory Notice 25-05 on Outside Activities. But the webinar encouraged members to still submit comments even after the deadline if we had information we thought was relevant to the topic.

I am in agreement with combining the two rules 3270 and 3280 to reduce burdens. However, my comment is that I think Rule 3210 should be fully eliminated and then combined and incorporated into this new proposed Rule 3290. The rule 3210 on "Accounts at Other Broker-Dealers and Financial Institutions" seems to be another "outside" type of activity/account that I think should be grouped in with these other rules. Combining all three rules 3210, 3270, and 3280 into one comprehensive rule 3290 would help reduce burdens of having separate requirements for associated individuals for all these different "outside" activities and accounts. Even in the proposal, FINRA mentions rule 3210 several times as influential and in direct relation with these other rules 3270 and 3290. It just seems like common sense to include rule 3210 into the proposed new combined rule, which would extremely reduce burdens and streamline compliance and supervision and human hours on these requirements.

If you can confirm that you have received my comment, I would appreciate the confirmation.

Thank you,

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