From: Anoop Bungay
To: Comments, Public

Subject: MQCC Email Submission to FINRA re: Regulatory Notice 22-08 FINRA Reminds Members of Their Sales Practice

Obligations for Complex Products and Options and Solicits Comment on Effective Practices and Rule

Enhancements

Date: Monday, May 16, 2022 1:57:34 PM

WARNING: External Sender! Exercise caution with links, attachments and requests for login information.

Jennifer Piorko Mitchell
Office of the Corporate Secretary
FINRA
1735 K Street, NW
Washington, DC 20006-1506
c/o pubcom@finra.org

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- Notice to Members 03-71
- 2210. Communications with the Public
- Regulatory Notice 19-31
- Regulatory Notice 11-39

Please visit: <u>www.MQCCsecFINRA.com [mqccsecfinra.com]</u> to learn more.

I will send a formal memo to your President and CEO, later on,

Sincerely,

/s/

Anoop Bungay

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Sincerely yours,

A. K. (Anoop) Bungay, B. Comm., C-PEM®-P Broker

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