

TO	Regulatory Notice 25-04
FROM	Office of General Counsel
SUBJECT	FINRA Launches Broad Review to Modernize Rules Regarding Member Firms and Associated Persons
DATE	06/13/2025

FINRA staff received an anonymous request to review in light of today's capital markets FINRA Rules 2030 (Engaging in Distribution and Solicitation Activities with Government Entities) and 4580 (Books and Records Requirements for Government Distribution and Solicitation Activities).