

MONROE MATZ

[REDACTED]

DEAR EXECUTIVE VICE PRESIDENT ASQUITH:

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PRO FUNDS NOTIFIED SHAREHOLDERS

OF PENDING FINRA REGULATIONS UNDER CONSIDERATION ON INVESTMENTS DEEMED "COMPLEX" WHICH COULD REQUIRE DEMONSTRATION OF HIGH NET WORTH, OBTAIN SPECIAL APPROVAL FROM THE BROKER, ATTEST TO HAVING READ CERTAIN MATERIALS AND/OR REGULATOR TEST OF ONE'S INVESTMENT KNOWLEDGE. SINCE SPECIFICS WERE NOT DISCLOSED I CANNOT BE CERTAIN IF MY HIGH NET WORTH IS HIGH ENOUGH AND, ESPECIALLY, WHAT A REGULATOR TESTING COULD BE.

IF I WAS TESTED ON SPECIFICS OF MINUTIA BROKERS, MONEY MANAGER, ETC LEARN I WOULD NOT PASS, SEEMINGLY THE OPPORTUNISTIC STYLE IS SOMETHING WHICH CANNOT BE LEARNED.

WHEN 31 YEARS OF AGE I VISITED A BROKERAGE HOUSE, WAS INTRODUCED TO ONE ^{BROKER} SAYING I KNOW NOTHING ABOUT INVESTING BUT WANT TO BUY COMSAT. HE SHOWED THEIR TICKER TAPE, WHAT COMSAT'S SYMBOLS WERE AND THAT WERE I TO PURCHASE THIS \$32⁰⁰ A SHARE SECURITY IN 100 SHARES THE COST WOULD BE LOWER AND WERE I TO BUY AND SELL THE SAME DAY THAT WOULD ALSO SHOW A SAVINGS AND SO MY FIRST EXPERIENCE WAS AS A TRADER. WHEN I SAW I COULDN'T GET TO HIS OFFICE FOR SEVERAL WEEKS I PURCHASED 100 SH. WITH INSTRUCTIONS TO SELL AT A PRICE OF 7 1/2.

LUCKILY MY SELL ORDER WAS PUT IN EARLY BECAUSE JUST 200 SHARES WENT ACROSS AT THAT PRICE, 100 OF WHICH WERE MINE, COMSAT'S PRICE THEN TUMBLED AND I NEVER FOLLOWED IT AGAIN.

I WAS EMPLOYED EARNING WHAT A SCHOOL TEACHER EARNS AND MY WIFE MADE MINIMAL WAGES AT SEARS. NO ONE GIFTED US BUT 16 YEARS LATER, AT AGE 47, WE HAD ENOUGH TO RETIRE TO FL.

THREE YEARS LATER THE GREAT CRASH OF 1987 CAME. ON A FRI. BEFORE COLUMBUS DAY ON LEARNING OF WHAT GERMANY WAS PLANNING I TOLD MY WIFE THAT AFTER ALWAYS HAVING BEEN FULLY INVESTED WE WILL SELL EVERYTHING THURSDAY, COLUMBUS DAY. I DIDN'T THEN KNOW ABOUT SHORTING AND VEHICLES TO DO THIS EASILY WERE UNAVAILABLE WE SOLD MONDAY; 2 DAYS LATER THE MARKET WAS DOWN 100 POINTS (THEN A RECORD) FRI. DOWN 93 AND THE FOLLOWING MON. CAME TO BE KNOWN AS "BLOODY MONDAY."

I DIDN'T WAIT LONG BEFORE RE-ENTERING THE MARKET AND WITHIN A YEAR COULD AFFORD TO MOVE TO MUCH BIGGER HOUSE

THAT '87 CRASH WAS A TOTAL OF 26 $\frac{3}{4}$ % LOSS, THE BIGGEST EVER IN 3 DAYS. SHORTLY AFTER WE MOVED WE STARTED CRUISING WITH 119 CRUISES UNTIL WE STOPPED IN 2002. THE MARKET PAID FOR EVERYTHING. I THEN STARTED SENDING MARKET NEWSLETTERS TO FRIENDS. I WAS NOT A PROFESSIONAL AS THEY HAD TO SEND MONTHS. MY PERFORMANCE WAS MUCH BETTER THAN PROFESSIONALS PARTLY BECAUSE I WROTE ONLY 3 OR 4 TIMES A YEAR WHEN I WAS FAIRLY CERTAIN. ONE OF MY FOLLOWERS CALLED OCT 8, PROBABLY 2007 WANTING TO KNOW HOW LONG THE DOWNTURN WILL LAST. I TOLD HIM IT WILL LAST UNTIL THE END OF MARCH. "APRIL 1st WILL COME CLARITY." THE DOWNTURN LASTED TILL ^{END} OF MARCH AND THE UPTURN WENT ON FOR 6 WEEKS WITHOUT A HICCUP.

MOST WOULD CALL ME A LIAR SAYING THE MARKET DOES NOT OPERATE ON MAGIC. ENCLOSED IS MY PHONE NUMBER AS I CAN EXPLAIN EVERYTHING I DID.

A FEW YEARS EARLIER I FIGURED A METHOD TO ALWAYS BUY E.M. FUNDS JUST BEFORE THEY ROSE AND SELL WITH THE SAME ASSURANCE. (CHANGES ~~IN~~ POLICIES OF MUTUAL FUNDS PRECLUDE THIS NOW).

5 OR 6 YEARS AGO ENGLAND WAS TO VOTE TO LEAVE ^{THE EUROPEAN UNION} OR NOT. THE VOTE WAS TO BE IN ONE WEEK ON A FRIDAY. WHEN I LEARNED OF WHAT WAS HAPPENING IN ENGLAND I COULD SEE OUR ^{ACCEPTANCE} OF ENGLAND VOTING TO STAY IN WITHOUT QUESTION WAS NOT WARRANTED AND DECIDED THAT IF CERTAIN THINGS HAPPENED IN OUR MARKET, ^{WHICH DID HAPPEN} PRIOR TO FRIDAY'S VOTE I WOULD USE PRO FUNDS TO DOUBLE SHORT AROUND THE WORLD. THE VOTE TO LEAVE, ACCORDING TO STP WIRES WAS THE ~~WAS~~ THE BIGGEST WORLDWIDE DROP EVER IN ONE DAY!

AM I TO BE PENALIZED FOR HAVING THE BIG PICTURE AND NOT KNOWING HOW TO ANALYSE A STOCK?

THANKS FOR UNDERSTANDING

Mouse Mal