

**From:** [Rich Kaiser](#)  
**To:** [Comments, Public](#)  
**Subject:** Finra Notice  
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Hello, My name is Rich Kaiser. A fairly new investor in the market, but have been researching and trying to learn as much as possible in the past 5 months.

I think what most retail investors are really upset about is Transparency in the market! We would also like to see frequent monitoring of naked shorting, especially from Citadel who is a Market Maker and Hedge fund which is a conflict of interest.

The SSR list is a complete joke. Every time a stock that is highly shorted goes on the SSR list, they borrow even more shares to short it down again on every slightest uptick.

I would also love to see SEC/FINRA or Enforcement party to start enforcing the rules and regulations that have been passed. And stop with the petty fines against these huge funds. If anyone of the retail traders would commit these crimes (and they are HUGE crimes) we would see some serious jail time.

Just a few of my thoughts on the system. I hope you read these comments and try to get the pulse of retail investors and what our problems are with the market. We know it's a David vs. Goliath fight, we just want a fair fight where the rules don't change half way through.

Sincerely.

A concerned investor,

Rich Kaiser