

**From:** Rick Powell [rick.powell@primoris-financial.com]  
**Sent:** Saturday, June 27, 2009 1:35 PM  
**To:** Comments, Public  
**Subject:** Comment on FINRA Notice 09-25 published in May 2009 and proposed FINRA Rule 2111

Sincerely,



Rick Powell, ChFC

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Rick Powell is the President of Primoris Financial Solutions Co. He is a Registered Principal with LPL Financial, and a Chartered Financial Consultant (ChFC).

Whenever Rick helps a client with financial planning services, those services are provided through LPL Financial, a Registered Investment Advisor (RIA). Financial planning services include Retirement Planning, Estate Planning, Business Succession Planning, and other specific planning engagements.

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