

From: Pamela Yellen [lynnpmi@att.net]
Sent: Tuesday, June 30, 2009 7:31 AM
To: Comments, Public
Subject: FINRA Regulatory Notic 09-25

To whom it may concern,

Although I am not a financial advisor or insurance agent, I have been a business consultant to more than 30,000 financial advisors over the past two decades. I strongly object to expanding FINRA's suitability obligations to recommendations that do not involve securities.

While I absolutely believe that anyone who promotes unsuitable products or engages in misleading sales practices should be prosecuted, FINRA does not have jurisdiction or the product-specific expertise needed to oversee non-securities transactions.

I am strongly opposed to expanding FINRA's authority over non-securities products.

Thank you for considering my views on this issue.

Sincerely,

Pamela Yellen