
From: David.Koll@mutualofomaha.com [mailto:David.Koll@mutualofomaha.com]
Sent: Wednesday, June 24, 2009 2:56 PM
To: Comments, Public
Subject: FINRA Regulatory notice 09-25

To whom it may concern,

I am sending this message because I very strongly object to expanding FINRA's suitability obligations to recommendations that do not involve securities.

I certainly believe that those who make unsuitable sales and engage in misleading sales practices should be prosecuted and have their licenses revoked. Insurance and other non-securities products are already subject to regulation at the state level by state insurance departments and other state regulators. Adding additional regulation is simply not needed.

I urge you not to expand FINRA's suitability obligations to include recommendations that do not include securities. Thank you very much.

David M. Koll LUTCF
Financial Advisor
Mutual of Omaha
2102 N 117th Ave
Omaha, NE 68164
402-399-9300 ext. 2226

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