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To: Comments, Public
Cc: governmentrelations@naifa.org
Subject: Regulatory Notice 09-25

To FINRA and other interested and affected parties:

FINRA's Regulatory Notice #09-25, requests input from financial professionals regarding FINRA's interest in expanding its regulatory authority to cover non-securities related financial transactions.

This intent is totally unnecessary and absolutely absurd!!!! The financial services industry and those who are served by it do not need any **additional** regulatory authority exercised over it by FINRA or any other regulator or agency.

What we do need is for those who already have such authority to exercise it in a comprehensive and expedient manner.

I am a 33 year veteran of the financial services industry. I hold life, health, and series 6, 63, 7 and 26 licenses. I hold CLU, ChFC, and FIC designations. I have attained high levels of production, including many years at the MDRT levels, and have earned much high recognition by my companies. I like many others have never violated any laws, ethical standards, or compliance regulations. I and thousands like me serve our clients, customers, and our companies well. We **do not need FINRA adding another layer of regulatory authority over us.**

What is needed is for those who already have various types and levels regulatory authority to do their jobs!!!! Violators need to be prosecuted and penalized severely for their actions.

Let's not spend more money giving FINRA more authority. Let's make certain that the existing regulatory authorities do their jobs and have the resources and the personnel needed to enforce the existing laws and regulations!!!

Respectfully Submitted,

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