

From: Steven Nimmer [mailto:steve@wisconsincollegeplanning.com]
Sent: Tuesday, June 23, 2009 11:19 AM
To: Comments, Public
Subject: Regulatory Notice 09-25

I am a licensed insurance professional and registered representative. I am writing to you because I strongly object to expanding FINRA's suitability obligations to recommendations that do not involve securities.

First, FINRA currently does not regulate non-securities business and would only add unnecessary regulation to an area that is already heavily regulated. Additional regulation for securities is currently being reviewed for FINRA and the SEC and it would not be appropriate to consider or apply additional regulation to non-securities related business.

I do not want to be misread, I believe those who due abuse non-securities business/investments should be prosecuted and punished, however strict regulation currently exist and expanding these duties to FINRA and the SEC would not be appropriate or prudent.

For the reasons stated above, I urge you not to expand FINRA's suitability obligations to include recommendations that do not involve securities. Thank you for your time and considering my views on this issue.

Steve

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Professional: One who answers the question you didn't even think to ask.

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