From: Larry B. Rash, CLU [mailto:lrash@ft.newyorklife.com]
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Subject: FINRA Regulatory notice 09-25

It's preposterous for FINRA to be considering overstepping it's authority by expanding the scope of FINRA's suitability rules and obligations to include recommendations that do not involve securities. FINRA does not have authority or jurisdiction over these products and services because they are not securities. To expand your authority to products and services that are outside of your oversight authority would not only be unprecedented, it is unwarranted.

Think Green! Please consider our environment before printing this e-mail.

Larry B. Rash, CLU
Agent, New York Life Insurance Company, Registered Representative offering securities through NYLIFE Securities LLC (member FINRA/SIPC).
899 Outer Road, Suite A
Orlando, FL 32814
Phone: 407-228-8445
Cell: 407-353-7610
Fax: 407-228-8446
lrash@ft.newyorklife.com