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**From:** Ted Weaver [mailto:[ted.weaver@rbwiser.com](mailto:ted.weaver@rbwiser.com)]

**Sent:** Wednesday, June 24, 2009 4:12 PM

**To:** Comments, Public

**Subject:** FINRA Regulatory notice 09-25

To Marcia E. Asquith:

I am a licensed insurance professional and registered representative. I am writing to you because I strongly object to expanding FINRA's suitability obligations to recommendations that do not involve securities.

I want to state that I firmly believe that people who promote unsuitable sales and engage in misleading sales practices should always be prosecuted and be subject to meaningful sanctions. But I do believe that FINRA does not have jurisdiction over products which are not securities, FINRA nor do broker/dealers have the resources or product-specific expertise necessary to oversee non-securities transactions. FINRA's authority should not be expanded to include non-securities products and services. For these reasons I urge you not to expand FINRA's suitability obligations to include recommendations that do not involve securities.

Thank you for consideration of my point of view on this issue.

Ted Weaver

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