

From: rory@rorywold.net [<mailto:rory@rorywold.net>]
Sent: Tuesday, June 23, 2009 12:46 PM
To: Comments, Public
Subject: Regulatory Notice 09-25

Rory Wold
2019 Aero Way Ste 101
Medford, OR 97504-9789

June 23, 2009

FINRA - Financial Industry Regulatory Authority

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I am a licensed insurance professional and registered representative. I am writing to you because I object to expanding FINRA's suitability obligations to recommendations that do not involve securities.

I firmly believe that people who promote unsuitable sales and engage in misleading sales practices should be aggressively prosecuted and subject to meaningful sanctions. However, I do not believe FINRA has jurisdiction over products and services which are not securities. Also, there is the issue of state jurisdiction vs. federal with the non-security products.

For the reasons stated above, I urge you not to expand FINRA's suitability obligations to include recommendations that do not involve securities. Thank you for considering my views on this issue.

Sincerely,

Rory C Wold