

In response to your solicitation for comments regarding broker-check, I am fully in favor of requiring firms to feature a link to the website on their respective home page.

I have been a licensed advisor for 25 years with no securities-related blemishes on my record. HOWEVER, under disciplinary actions etc, on my record it shows a CRIMINAL entry! This was related to a DUI conviction I had in 2007 which was DISMISSED on appeal! HOWEVER, there is NO detail given on the website as to what the "CRIMINAL" entry is/was and leaves the impression that I have done something terrible! This is not fair and could give a potential/existing client a real bad idea about me!

Last, I am very much in favor of listing professional designations such as my CLU & ChFC along with others like CIMA, CFP, CRPC, etc.

Thank you for requesting input and I would like to hear from someone about how to expunge or provide details on my record regarding the "criminal" entry.

Sincerely,

Nelson M Kelly
CRD#1795855