Providing test scores would not offer any indication of the competence or professionalism of the registered person being scrutinized, and including the reasons for termination other than regulatory, criminal, or financial malfeasance, would do little to help a public client make a determination whether to work with that advisor. The client should know if the advisor was terminated, but only to the extent that advisor broke security industry regulations or securities laws.

Marc E. Walker Financial Advisor

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