

Providing test scores would not offer any indication of the competence or professionalism of the registered rep being scrutinized.

The reasons for termination other than regulatory, criminal, or financial malfeasance, would do little to help a public client make a determination whether to work with that advisor.

The client should know if the advisor was terminated, but only to the extent that advisor broke security industry regulations or securities laws.

Most clients don't use Broker Check. They might after the fact when they choose to file a complaint, but not before hand

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