

Hello,

I wanted to comment on the following proposed regulation.

12-10 FINRA Requests Comment on Ways to Facilitate and Increase Investor Use of BrokerCheck Information

Comment Period Expires: April 6, 2012

Posted on: 2

I don't think it is wise to include exam scores in Brokercheck. The reason is simple. The test is designed to test the persons beginning general knowledge of securities. This doesn't mean anything to the individual investor. It means the person can pass a test. It doesn't have any bearing on integrity at all. I was previously in the computer field. Brokercheck doesn't offer offer very much in the form of useful information. How about letting an investor enter a mutual fund, stock, or ETF. Then give some useful information about that investment. I know you would have many brokers, advisors, and individuals using the site.

I have been in the industry for around 7 years now. The way to ensure that brokers or advisors do what is in the clients best interest starts at the top of company. This is why I work alone.

Regards,
Scott Smith
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