

The more disclosure the better. One of the most important decisions an individual makes is finding someone reliable and trustworthy to manage his assets. The BrokerCheck as it currently stands provides inadequate disclosure to prospective investors who seek to hire a broker. At the very least, BrokerCheck should be user-friendly allowing individuals to ascertain a broker's educational background, degrees, titles, etc; history of employment; history of all customer complaints, including verbal complaint, etc. Transparency is good for everyone in the process – it allows investors information to make informed decisions hiring a broker while brokers would strive to a higher standard. Furthermore, allowing custom-made searches on BrokerCheck would be an asset to the public, such as allowing searches by firm, zip code, years in the industry etc. It would be a win-win situation for everyone.

David Harrison, Esq.
9454 Wilshire Blvd., Suite 303
Beverly Hills, CA 90212
Telephone (310) 499-4732
Fax (310) 861-5444