

Should a registered rep. give a breakdown of their expenses to the customer as well at the time they will disclose the commission they're expected to receive on the transaction the client's business? Time is money. Reps travel to supposed clients destination, they spent quality time with the client to do the right thing for the person & in their best interest. They're also abreast with changes & have to monitor the goals set & accomplishments. It is an ongoing service for years ahead. Can FINRA put a price/cost on such performance? Not only to nail the rep dead if a client knowingly make a false report which happens so often..

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