



April 28, 2014

Marcia E. Asquith
Office of the Corporate Secretary FINRA
1735 K Street, NW
Washington, DC 20006-1506

Re: Regulatory Notice 14-09

Dear Ms. Asquith:

My firm is a FINRA member specializing in private placement of alternative asset funds (private equity, private credit, real estate, and natural resource funds) to institutional investors. I am also a member of the Third Party Marketer's Association (3PM).

I have had an opportunity to review 3PM's comprehensive comments regarding the rule set proposed by Regulatory Notice 14-09 for Limited Corporate Finance Brokers (LCBD). I urge FINRA's Board to carefully consider 3PM's thoughtful and informed commentary, which has earned my strong support.

Sincerely,

A handwritten signature in blue ink, appearing to read "Bruce A. Williamson". The signature is fluid and cursive, written over a white background.

FORTRESS GROUP, INC.
Bruce A. Williamson, CFA
Managing Director & Chief Compliance Officer