

Dear Ms. Asquith,

My firm is a FINRA member specializing in placement agent services dedicated to the singular goal of capital raising for investment managers so they could focus on creating returns and not on the fundraising. We raise institutional capital across highly differentiated funds, including private equity, real estate, real assets and hedge funds.

I am also a member of the Third Party Marketer's Association (3PM). I have had an opportunity to review 3PM's comprehensive comments regarding the rule set proposed by Regulatory Notice 14-09 for Limited Corporate Finance Brokers (LCBD) which are attached. I urge FINRA's Board to carefully consider 3PM's thoughtful and informed commentary, which has earned my strong support.

With kind regards,

Leanne

LEANNE ERICKSON
Chief Compliance Officer



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