

# Notice to Members

MAY 2003

## SUGGESTED ROUTING

Legal and Compliance  
Operations  
Registration  
Senior Management

## KEY TOPICS

District Elections

## INFORMATIONAL

### District Elections

NASD Informs Members of Upcoming District Committee and District Nominating Committee Elections

#### Executive Summary

In early June, NASD will issue a formal *Notice to Members* soliciting candidates and explaining the process for nominating individuals to serve on both the District Committees and the District Nominating Committees.

In this election, each District Committee will have three vacancies to fill, with the exception of District 10, which will have four. The term of office for District Committee members is three years. Each District Nominating Committee will have five vacancies to fill for a one-year term.

The purpose of this *Notice* is to inform members of the upcoming vacancies in NASD's District Committees and District Nominating Committees so that they may begin to think of candidates. Individuals from member firms of all sizes and segments of the industry are encouraged to submit candidates for consideration for membership on NASD's District Committees and District Nominating Committees.

Please note that individuals who have served two consecutive terms are no longer eligible to be re-elected; however, NASD encourages current and former committee members to assist NASD by soliciting candidates for both committees.

#### Updated Contact Information

Members are reminded of the importance of accurately maintaining with NASD their Executive Representative name and e-mail address information, as well as their firm's main postal address. This will

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ensure that member mailings, such as this District election information, will be properly directed. Failure to keep this information accurate may jeopardize the member's ability to participate in District elections as well as other member votes. To update their Executive Representative name and e-mail address, firms should access their NASD Member Firm Contact Questionnaire (NMFCQ) located on the NASD Web Site ([www.nasdr.com/disclaimer.asp](http://www.nasdr.com/disclaimer.asp)).

To update postal address information, the firm must file a Form BD Amendment via the Web CRD system. For assistance in accessing the NMFCQ or Web CRD, you may contact our Call Center at (301) 590-6500.

### Questions/Further Information

Questions concerning this *Notice* may be directed to Barbara Z. Sweeney, Senior Vice President and Corporate Secretary, NASD, at (202) 728-8062 or via e-mail at [barbara.sweeney@nasd.com](mailto:barbara.sweeney@nasd.com).

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