

Notice to Members

JANUARY 2003

SUGGESTED ROUTING

Legal & Compliance
Senior Management

Dispute Resolution Office Relocation

NASD Dispute Resolution Relocates Its New York City Headquarters and Regional Office

KEY TOPICS

Dispute Resolution

Executive Summary

NASD Dispute Resolution announces the relocation of its New York headquarters office and Northeast Regional Office to:

One Liberty Plaza
27th Floor
165 Broadway
New York, New York 10006
Telephone: (212) 858-4400
Facsimile: (212) 858-4429

The relocation is effective January 27, 2003.

Hearing notices will contain the location of scheduled hearings. If parties have any questions about the location of a hearing, they should contact their assigned hearing administrator.

Questions/Further Information

Questions regarding this *Notice* may be directed to Elizabeth R. Clancy, Associate Vice President and Director, NASD Dispute Resolution Northeast Regional Office, at (212) 858-4381.

© 2003. NASD. All rights reserved. Notices to Members attempt to present information to readers in a format that is easily understandable. However, please be aware that, in case of any misunderstanding, the rule language prevails.