For Your Information

Financial Payments

On Monday, June 25, 2001, NASD Regulation implemented new addresses for all financial payments—including payments to CRD/IARD. The new P.O. Box will facilitate more timely automated processing of payments and is the primary address to which your firm sends all checks/payments via regular delivery. Please note that the P.O. Box for regular delivery payments does not accept courier or overnight packages. There is a second address for courier and overnight deliveries.

The new financial payment addresses are:

Regular Mail

NASD Regulation, Inc., CRD-IARD P.O. Box 7777-W9995 Philadelphia, PA 19175-9995

Courier & Overnight Mail ONLY

NASD Regulation, Inc., CRD-IARD W9995 c/o Mellon Bank, Rm 3490 701 Market Street Philadelphia, PA 19106

Provide the following phone number if one is required for the recipient: (301) 869-6699

Payments will be processed according to the schedule below:

- Deposits received before 2 p.m., Eastern Time (ET), should be posted in your financial account the next business day.
- Deposits received after 2 p.m., ET, should be posted in your financial account in two business days.

The procedures and information for wire transfers have not changed. You may find the procedures for wire transfers on the NASDR Web Site at: http://www.nasdr.com/3400_wire.htm.

For questions regarding the new financial payment addresses, please call the Gateway Call Center Member Firm Registration Hotline at (301) 869-6699.