

For Your Information

Y2K Testing Not Required For Legacy CRD And PC FOCUS

Due to the availability of the new Web Central Registration Depository (CRDSM) system and Web-Based FOCUS filing system, the National Association of Securities Dealers, Inc. (NASD[®]) does **not** require Year 2000 testing of their predecessor systems – Legacy CRD and PC FOCUSSM. The new systems – Web CRD and Web-Based FOCUS – have successfully completed Year 2000 testing.

If your firm uses the EFT functions of Legacy CRD and wishes to voluntarily conduct Year 2000 date

testing, the NASD will accommodate your request. Contact the Year 2000 Program Office at (888) 227-1330 to arrange testing.

Details about these applications can be found on the NASD Year 2000 Web Page on the following Web Sites: www.nasd.com and www.nasdr.com. If you have any questions, or require additional information, please contact the NASD Year 2000 Program Office at (888) 227-1330.

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