

Trade Reporting Notice

OTC Equity Security Transactions

Revised Policy for Disseminating Reports of Fewer Than 100 Shares

Effective Date: April 21, 2008

Executive Summary

Effective April 21, 2008, for OTC equity securities¹ that traded at or above \$175 per share during the fourth calendar quarter of 2007, FINRA will change the “unit of trade” from 100 shares to one share (such that transactions in these securities for fewer than 100 shares will no longer be considered “odd-lot transactions” for dissemination purposes), and will disseminate last sale information for all reported transactions in these securities via the Trade Data Dissemination Service (TDDS) feed. Going forward, FINRA will review trading activity at the end of each calendar quarter to determine whether additional OTC equity securities meet the stated dissemination criteria.

Questions regarding this *Notice* and FINRA’s OTC equity securities dissemination policy may be directed to FINRA Operations at (866) 776-0800.

Background

Pursuant to FINRA’s dissemination protocols, only reports of transactions that meet the “unit of trade” test are publicly disseminated. As a general matter, OTC equity securities have a unit of trade of 100 shares, and while transactions of fewer than 100 shares (commonly referred to as “odd-lot transactions”) in such securities are reported to FINRA,² they are not publicly disseminated via the TDDS feed.

March 18, 2008

Key Topic(s)

- Odd-Lots
- OTC Reporting Facility
- OTC Equity Securities
- Trade Reporting

Referenced Rules & Notices

- NASD Rule 6610
- NASD Rule 6620

FINRA believes that, consistent with the dissemination protocols for NMS stocks, disseminating last-sale information for odd-lot transactions would provide minimal market value, particularly with respect to low-priced OTC equity securities. However, with respect to high-priced OTC equity securities, many (if not all) transactions may be for fewer than the standard unit of trade of 100 shares. Thus, information regarding trades at these levels is more valuable to the market and investors, and in fact, trading data for such securities could effectively be unavailable to market participants if only trades of 100 or more shares were disseminated.

Accordingly, FINRA disseminates last-sale information for transactions of fewer than 100 shares in a limited number of high-priced OTC equity securities today. For these securities, the unit of trade has been designated as one share, such that any transaction of one or more shares will meet the unit of trade test for that security and be disseminated. For example, if OTC Equity Security ABCD has a unit of trade of one share, a transaction of 25 shares of ABCD would meet the unit of trade test for that security and last-sale information for the transaction would be disseminated. Under past practice, the unit of trade of OTC equity securities was changed on a case-by-case basis to facilitate the dissemination of trades of fewer than 100 shares. Typically, such changes were made in connection with securities trading above \$200 per share.

On December 19, 2007, FINRA filed with the Securities and Exchange Commission for immediate effectiveness a proposed rule change to adopt a more uniform policy regarding the dissemination of trade information for OTC equity securities.³ Specifically, for all OTC equity securities that traded at or above \$175 per share during the fourth calendar quarter of 2007, FINRA will designate the unit of trade as one (such that transactions in these securities for fewer than 100 shares will no longer be considered odd-lot transactions for dissemination purposes) and will disseminate last-sale information for all transactions of one or more shares in such securities.⁴ It is anticipated that the unit of trade for the vast majority of OTC Equity Securities will remain 100 shares.

Attached to this *Notice* is a list of the OTC equity securities that meet the stated dissemination criteria. This list is also available on the OTCBB Web site at www.otcbb.com. All OTC equity securities for which the unit of trade has previously been designated as one also are included in this list and will remain on this list regardless of whether they meet the new dissemination criteria.

Going forward, FINRA will update the list of OTC equity securities at the end of each calendar quarter based on that quarter's trading activity using the above criteria. While OTC equity securities may be added to the list, they generally will not be removed.⁵ FINRA believes that retaining OTC equity securities on the list, rather than re-evaluating each security's eligibility every calendar quarter, will achieve greater transparency and consistency with respect to trade data dissemination.

The revised dissemination policy is effective as of April 21, 2008.

Endnotes

- 1 NASD Rule 6610(d) defines OTC Equity Security as “any non-exchange-listed security and certain exchange-listed securities that do not otherwise qualify for real-time trade reporting,” which effectively includes all securities quoted on the OTC Bulletin Board (OTCBB), securities quoted on the Pink Sheets, and securities traded but not otherwise quoted in a quotation medium in the OTC equity market.
- 2 See NASD Rule 6620.
- 3 See Securities Exchange Act Release No. 57143 (January 14, 2008), 73 FR 3783 (January 22, 2008) (notice of filing and immediate effectiveness of SR-FINRA-2007-034). The proposed rule change proposed to amend FINRA’s trade report dissemination policy only and did not require amendments to any rules.
- 4 Trading volume for OTC equity securities that meet the stated dissemination criteria and are currently not disseminated on a real-time basis will be included in the end-of-day aggregate volume.
- 5 FINRA may determine that an OTC equity security should be removed from the list if, *e.g.*, there has been a significant corporate action, such as a stock split, that has changed the pricing in the security such that a unit of trade of one is no longer appropriate, or if the OTC equity security was erroneously included on the list as a result of inaccurate prices included in the trade report(s) that qualified the security for dissemination of last-sale transaction information.

Attachment A

Symbol	Security Name	Market Category
ABKH	American Bank Holdings, Inc. (DE) New Common Stock	U
ACDEF	Acrodea Inc, Tokyo Ordinary Shares (Japan)	u
ACGFF	Accordia Golf Co Ltd Ordinary Shares (Japan)	u
ACNWF	Acca Networks Co Ltd Tokyo Ordinary Shares (Japan)	u
ACSZF	Access Company, Ltd. Tokyo (Japan) Ordinary Shares	u
ACXIF	Acciona Sa Ordinary Shares	u
ADKT	Adirondack Tr Co Common Stock	u
ADRS	Adrian Steel Co Common Stock	u
ADWYF	Adways Co Ltd Ordinary Shares (Japan)	u
AEICF	Aeria Inc Tokyo Ordinary Shares (Japan)	u
AENLF	Arsenal Holdings Plc Ordinary Shares (United Kingdom)	u
AEPRF	Ardepro Co Ltd Tokyo Ordinary Shares (Japan)	u
AERAY	Aeroflot Russian International Airlines Sponsored 144A GDR	u
AFAP	A F A Protective Sys Inc Common Stock	u
AFIPA	Amfi Corp Common Stock	u
AFNL	AmTrust Financial Corporation Common Stock	u
AHIUF	Asahi Industries Co Ltd Tokyo Ordinary Shares (Japan)	u
AKBLF	Alk-Abello A/S Shares -B- (Denmark)	u
ALIZF	Allianz Ag Muenchen Namen-Akt Vinkuliert Shares (Germany)	u
ALNKF	Arealink Co Ltd Tokyo Ordinary Shares (Japan)	u
ALXCF	Aplix Corp Tokyo Ordinary Shs (Japan)	u
AMFYF	Amtssparekassn Fyn Dkk100 Ordinary Shares	u
AMGSF	Asset Managers Co Ltd Tokyo Ordinary Shares (Japan)	u
AMGXF	Anges MG Inc Ordinary Shares	u
AMKAF	A.P. Moeller-Maersk A/S A Shares (Denmark)	u
AMKBF	A.P. Moeller-Maersk A/S B Shares (Denmark)	u
AMPJF	Amorepacific Corporation Ordinary Shares (South Korea)	u
AMRPF	Amorepacific Corp New Ordinary Shares (South Korea)	u
AMRT	American Mart Corp Common Stock	u
AMRWF	AmorePacific Corpnew PFD SHS Non-Voting (South Korea)	u
AOMFF	Alstom Ordinary Shares Prov Regroupement (France)	u
APMSF	Apamanshop.co Ltd Tokyo Ordinary Shares	u
APNIP	Alpine Group, Inc. (The) Convertible Preferred Series A	u
AQINF	AQ Interactive Inc, Tokyo Ordinary Shares (Japan)	u
ARVCF	Areva CIP Ordinary Shares	u
ASCN	Abescon Bancorp Common Stock	u
ASHG	Ash Grove Cement Co Common Stock	u
ASHGB	Ash Grove Cement Co Class B Common Stock	u
ASNBF	Asahi National Broadcasting Co Ltd Ordinary Shares	u

Symbol	Security Name	Market Category
ASTXF	Astmax Co Ltd, Tokyo Ordinary Shares (Japan)	u
ASUKF	Asukanet Co Ltd, Hiroshima Ordinary Shares (Japan)	u
ASXOF	Asax Co Ltd Tokyo Ordinary Shares (Japan)	u
ATCD	Altair Corp Delaware Common Stock	u
ATZNF	Artiza Networks Inc Tachikawa Ordinary Shares	u
AUNCF	Aun Consulting Inc, Tokyo Ordinary Shares (Japan)	u
AVCNF	Advance Create Co Ltd Ordinary Shares	u
AVLTF	Adval Tech Hldg Ord B Ordinary Shares	u
AVMEF	Advanced Media Inc Tokyo Ordinary Shares (Japan)	u
AVOA	Avoca Incorporated Common Stock	u
AVREF	Advance Residence Investment Corp, Tokyo Ordinary Shares (Japan)	u
AXILF	Alexandria Iron & Steel Ordinary Shares	u
AXLLF	Axell Corp Tokyo Ordinary Shares (Japan)	u
BALXF	Bals Corp Ordinary Shares	u
BBICP	Blockbuster Inc. 7.5% Perpetual Convertible Preferred Series	u
BBPFF	Bank BPH SA Ordinary Shares (Poland)	u
BBRIF	Best Bridal Inc Tokyo Ordinary Shares (Japan)	u
BCHHF	Bucher Holding Ag Niederweningen Namen Akt. Shares (Switzerland)	u
BCKGF	Backs Group Inc Tokyo Ordinary Shares (Japan)	u
BCRTF	Baccarat SA Reg Shs (France)	u
BDIVF	Bandai Visual Co Ltd Ordinary Shares	u
BHRB	Burke & Herbert Bank & Trust Company (VA) Common Stock	U
BICMF	Bic Camera Inc Tokyo Ordinary Shares (Japan)	u
BIJBF	Bijou Brigitte Modische Access Ordinary Shares	u
BKS RF	Bank Sarasin & Cie Ordinary Shares	u
BKUT	Bank Utica NY Common Stock	U
BKUTK	Bank Utica NY Non-Voting Common Stock	U
BLHWF	Belimo Holding Ag Wetzikon Ordinary Shares	u
BLIFF	Blife Investment Corp Ordinary Shares (Japan)	u
BMPTF	Bemap Inc Ordinary Shares	u
BMRMF	Bains De Mer Et Du Cer Monaco Ordinary Shares	u
BNFTF	Benefit One Inc Tokyo Ordinary Shares (Japan)	u
BNJAF	Bank Of Japan Ord Ordinary Shares	u
BOIVF	Bollore Investissement Ordinary Shares (France)	u
BOWE	Bowles Fluidics Corporation Common Stock	u
BPMUF	Basilea Pharmaceutica Ag Basel Namen-Akt.Shares (Switzerland)	u
BPRKF	Bell Park Co Ltd Ordinary Shares	u
BRC PF	Brasilagro Cia Brasileira De Propriedades Agricolas Ordinary	u
BREJF	Bre Bank SA Ordinary Shares	u

Symbol	Security Name	Market Category
BSND	Boston Sand & Gravel Co Common Stock	u
BTCP	Bactolac Pharmaceutical, Inc. Common Stock	u
BUKCF	Burckhardt Compression Holding Ag Risch Namen Akt Shares (Switzerland)	u
BVERS	Beaver Coal Co Ltd Sbi Common Stock	u
BWEL	Boswell J G Co Common Stock	u
BYCBF	Barry Callebaut Ag Reg Ordinary Shares	u
CAOX	California Orchards Co Common Stock	u
CAUXF	Construccion Y Auxiliar De Fe Ordinary Shares	u
CBCYB	Central Bancompany Class B Non-Voting Common Stock	u
CBDCF	Cybird Co Ltd Ordinary Shares	u
CBMI	CSB Bancorp Inc Common Stock (Michigan)	u
CBPA	Canton Bancorp, Inc. (PA) Common Stock	U
CBSZF	Cybernet Systems Co Ltd Ordinary Shares	u
CCRK	Coal Creek Company (The) Common Stock	u
CCVAJ	Country Club of Virginia Inc CDT-Cap Stk	u
CEAKF	C S Create Co Ltd Tokyo Ordinary Shares (Japan)	u
CENEF	Carenet Inc, Tokyo Ordinary shares (Japan)	u
CFIN	Citizens Financial Corporation Common Stock New	u
CFNCF	Cie Financiere Tradition Lausanne Act, Shares (Switzerland)	u
CFTKF	C4 Technology Inc, Tokyo Ordinary Shares (Japan)	u
CGPVF	Compagnie Generale De Geophysique Veritas (Ordinary Shares)	u
CHMMF	Cheil Communications Inc Ordinary Shares	u
CIEUF	Camaieu SA ACT	u
CIVPF	Crescendo Investment Corp, Tokyo Ordinary Shares (Japan)	u
CJPRF	Central Japan Railway Co Ordinary Shares	u
CJTKF	Chintai Jutaku News Co Ltd Tokyo Ordinary Shares (Japan)	u
CLFQM	Cleveland-Cliffs Inc. 3.25% Perp. Preferred Conv.	u
CMICF	CMIC Co Ltd Ordinary Shares	u
CMNFY	Corimon C.A. Sponsored ADR (Venezuela)	u
CNIN	Conbraco Inds Inc Common Stock	u
CNND	Canandaigua National Corporation Common Stock	U
COJPF	Coca Cola Central Japan Company Ltd Ordinary Shares	u
CPYOF	Chip One Stop Inc Yokohama Ordinary Shares (Japan)	u
CRDPF	Creed Corp Tokyo Ordinary Shares	u
CRFKF	Creed Office Investment Corp, Tokyo Ordinary Shares (Japan)	u
CSTW	Central Steel & Wire Co Common Stock	u
CUYTF	Colruyt Sa Halle Etablissee Fr Ordinary Shares	u
CYBFF	Cyber Firm Inc Naha Ordinary Shares (Japan)	u
CYBJF	Cyber Communications Inc Ordinary Shares	u
CYBRF	Cyberstep Inc, Tokyo Ordinary Shares (Japan)	u

Symbol	Security Name	Market Category
CYBZF	Cybozu Ordinary Shares	U
CYGIF	Cyber Agent Ltd Ordinary Shares	U
CYHC	Country Bank Holding Company, Inc. Common Stock	U
CYRBY	Cyrela Brazil Realty SA Sponsored ADR representing Ordinary Shares	U
DAESF	Daesung Industrial Co Ltd Ordinary Shares	U
DAFVF	Da Office Investment Corp Tokyo Ordinary Shares (Japan)	U
DARSF	Digital Arts Inc Ordinary Shares	U
DBOEF	Deutsche Boerse Ag Frankfurt Am Main Namen AKT (Germany Federal Republic)	U
DCCYF	DC Chemical Co Ltd Ordinary Shares	U
DCLBF	Dr.Cl:Labo Co Ltd Ordinary Shares	U
DCTUF	DA Consortium Inc Tokyo Ordinary Shares	U
DERXF	Daelim Industria Ordinary Shares	U
DGGSF	Daehan Flour Ordinary Shares	U
DGLTF	Digitalscape Co Ltd, Tokyo Ordinary Shares (Japan)	U
DIGM	Control Chief Holdings, Inc. Common Stock	U
DKIEF	Daiseki Eco Solution Co Ltd Nagoya Ordinary Shares (Japan)	U
DLGEF	Digital Garage Inc Ordinary Shares	U
DLKGF	Delek Group Ltd Ordinary Shares	U
DNACF	Dena Co Ltd Tokyo Ordinary Shares (Japan)	U
DNEAP	Dune Energy, Inc. Senior Preferred Conv 10%	U
DNTUF	Dentsu Inc Tokyo Ordinary Shares	U
DNVB	Denver Bankshares Inc Common Stock	U
DOHIF	Doosan Heavy Industries & Construction Company, Ltd. (South Korea) Ordinary Shares	U
DOSAF	Doosan Co Ltd Ordinary Shares (South Korea)	U
DPRTF	Dip Corp Tokyo Ordinary Shares (Japan)	U
DRECF	Drecom Co Ltd, Kyoto Ordinary Shares (Japan)	U
DRIRF	Dream Incubator Inc Ordinary Shares	U
DTLNF	Datalinks Corp, Tokyo Ordinary Shares (Japan)	U
DUAVF	Dassault Aviation Ord Ordinary Shares	U
DUBKF	Doubleclick Japan Inc Tokyo Ordinary Shares	U
DWANF	Dwango Co Ltd Tokyo Ordinary Shares (Japan)	U
EASVF	Easset Investment Corp., Tokyo Ordinary Shares (Japan)	U
ECLTF	eAccess, Ltd. Tokyo Ordinary Shares (Japan)	U
EGSHF	Energiedienst Holdings AG Laufenburg Namen AKT (German)	U
EJPNF	En Japan Inc Tokyo Ordinary Shares	U
EJPRF	East Japan Railway Co Ordinary Shares	U
ELFGF	Elf Gabon Act Nom Shares (Gabon)	U
ELFIF	E L Finl Corporation Ltd Ordinary Shares	U
EONAF	E On Ag Ordinary Shares	U

Symbol	Security Name	Market Category
EPLYF	EPS Company Ltd Tokyo Ordinary Shares	u
ERMAF	Eramet Ords Fgn Ordinary Shares	u
ESCF	ES Con Japan Ltd Osaka Ordinary Shares	u
ESEIF	E Seikatsu Co Ltd Tokyo Ordinary Shares (Japan)	u
ESHCF	ECash Corp, Tokyo Ordinary Shares (Japan)	u
ESSSF	Esso SA Ordinary Shares (France)	u
ETKYF	Etrade Securities Co Ltd Tokyo Ordinary Shares (Japan)	u
ETRZF	Electra Israel Ltd Ordinary Shares	u
EUPI	EUPA International Corporation New Common Stock	u
FBAK	First National Bank Alaska Common Stock	U
FBOO	First Bank of Ohio (OH) Common Stock	U
FCBN	First Citizens Bancorporation, Inc. (SC) Common Stock	U
FCHRF	Fischer Ag Schaff Georg Reg Sh Ordinary Shares	u
FCMUF	Fan Communications Inc Tokyo Ordinary Shares (Japan)	u
FCNCB	First Citizens BancShares, Inc. Common Stock, Class B	U
FCODF	Financiere De L Odet 100 Eur Par Ord Ordinary Shares	u
FCPA	Finance Co Pa Common Stock	u
FCRDF	FC Residential Investment Corp, Tokyo Ordinary Shares (Japan)	u
FCXGL	Freeport McMoran Copper & Gold Inc 5.5% Convertible Perpetual	u
FEGYF	First Energy Service Co Ltd, Tokyo Ordinary Shares (Japan)	u
FEIOF	Feintool International Holding Ag Lyss Reg Shares (Switzerland)	u
FIELF	Fields Corp Ordinary Shares (Japan)	u
FIETF	Fidex Corp Tokyo Ordinary Shares (Japan)	u
FINN	First National of Nebraska, Inc. Common Stock	u
FJSHF	Fujishoji Co Ltd, Osaka Ordinary Shares (Japan)	u
FJTNE	Fuji Television Network Inc Ordinary Shares	u
FKGLF	Fintech Flobal Inc Tokyo Ordinary Shares (Tokyo)	u
FLCOF	Fullcast Company Ltd Tokyo Ordinary Shares	u
FMBJ	First Menasha Bancshares Inc Common Stock	u
FMBL	Farmers & Merchants Bank (Long Beach, CA) Common Stock	U
FMCB	Farmers & Merchants Bancorp (Lodi, CA) Common Stock	U
FMIA	First Miami Bancorp Inc Common Stock	u
FNMFO	Federal National Mortgage Association Pfd Conv Ser 2004-I 5.	u
FNSE	First Natl Bk Shelby Nc Common Stock	u
FOTRF	Frontier Real Estate Invest, Tokyo Ordinary Shares (Japan)	u
FTCOF	FT Communications Co Ltd Tokyo Ordinary Shares (Japan)	u
FUNRF	Fund Creation Co Ltd, Tokyo Ordinary Shares (Japan)	u
FUORF	Fukuoka Reit Corp Ordinary Shares (Japan)	u
FUSYF	Future System Consulting Corporation Ordinary Shares	u
FUZAF	Funatsu Zaisan Consultants Co Ltd Tokyo Ordinary Shares (Japan)	u

Symbol	Security Name	Market Category
FWLBF	Foster Wheeler Ltd Preferred Conv Series B (Bermuda)	U
GALAF	Gala Incorporated Ordinary Shares	U
GBAAF	Gaba Corp, Tokyo Ordinary Shares (Japan)	U
GCAFF	GCA Co Ltd Ordinary Shares (Japan)	U
GDAIF	Gendai Agency Inc Hachioji Ordinary Shares (Japan)	U
GDHKF	GDH KK Tokyo Ordinary Shares (Japan)	U
GDVTZ	Gould Investors Lp Units	U
GDWLF	Goodwill Group Corporation (The) Ordinary Shares	U
GEDSF	Gedeon Richter Ltd Gic American Depositary Receipt	U
GEOCF	Geo Co Ltd Ordinary Shares	U
GEODF	Geodis Ordinary Shares	U
GFPRF	Golf Partner Co Ltd, Tokyo Ordinary Shares (Japan)	U
GGLT	Giant Group, Ltd. Common Stock	U
GHCUF	Grandy House Corp, Utsunomiya Ordinary Shares (Japan)	U
GHSPF	Green Hospital Supply Inc Suita Ordinary Shares (Japan)	U
GMEOF	Gameon Co LTD, Tokyo Ordinary Shares (Japan)	U
GNHAF	Galenica Holding AG Bern Namen-AKT	U
GNOSF	Gentosha Inc Tokyo Ordinary Shares (Japan)	U
GOMEF	Gomez Consulting Co Ltd, Tokyo Ordinary Shares (Japan)	U
GORIF	Global One Real Estate Investment Corp. Ordinary Shares (Japan)	U
GRNVF	Gourmet Navigator Inc Tokyo Ordinary Shares (Japan)	U
GRTYA	Guarnty Corporation Class A	U
GUNGF	Gungho Online Entertainment Inc, Tokyo Ordinary Shares (Japan)	U
GVDBF	Givaudan Ag Ordinary Shares	U
GWJUF	Gwangju Shinsegae Co Ltd Ordinary Shares	U
HAARF	Hanmi Pharmaceutical Co Ltd Ordinary Shares (South Korea)	U
HBBHF	Honam Petrochemical Co Ltd Ordinary Shares (South Korea)	U
HCKG	Hocking Valley Bancshares, Inc (OH) Common Shares	U
HIKSF	Henriksen OG Henriksen Holding A/S Ordinary Shares B (Denmark)	U
HIRPF	Hi Corp, Tokyo Ordinary shares (Japan)	U
HMLN	Hamlin Bank & Trust Company (Smethport, PA) Common Stock	U
HNKYF	Hankyu Reit Inc, Osaka Ordinary Shares (Japan)	U
HONT	Honat Bancorp, Inc. Common Stock	U
HOORF	Hoosiers Corp Tokyo Ordinary Shares (Japan)	U
HPTB	High Point Bank Corp (NC) Common Stock	U
HRKKF	Harakosan Co Ltd Harakosan Co Ltd Shimonoseki (Japan)	U
HSYDF	Hamonic Drive Systems Inc Ordinary Shares (Japan)	U
HWRI	Hayward Inds Inc Common Stock	U
HYAIF	Hyundai Mipo Dockyard Co., Ltd. Ordinary Shares (South Korea)	U
HYEVF	Hyundai Elevator Co Ltd Ordinary Shares	U

Symbol	Security Name	Market Category
HYHZF	Hyundai Heavy Industries Ordinary Shares	u
HYKBF	Hypothekbank Lenzburg Namen - AKT (Switzerland)	u
IACYF	Inter Action Corp Yokohama Ordinary Shares	u
IBKIF	Ing Bank It Fund Nv Amsterdam (Netherlands) Ordinary Shares	u
ICEC	Ice Cream Of The Stars Inc Common Stock	u
IDOSF	Idu Co Osaka Ordinary Shares (Japan)	u
IFCNF	INFICON Holding AG Ordinary Shares	u
IFFOF	Infocom Corp Ordinary Shares	u
IIJIF	Internet Initiative Japan, Inc. Ordinary Shares	u
IKYCF	Ikyu Corp Tokyo Ordinary Shares (Japan)	u
ILLXF	Intellex Co Ltd, Tokyo Ordinary Shares (Japan)	u
IMJCF	Imj Corp Tokyo Ordinary Shares (Japan)	u
INDN	Indians Inc Common Stock	u
INDQF	Index Corp Tokyo Ordinary Shares	u
INPGP	Interpublic Group Cos Inc Perp Preferred Conv Ser B 5.25%	u
IPNAF	Information Planning Co Ltd Osaka Ordinary Shares (Japan)	u
IPXHF	Inpex Holdings Inc Tokyo Ordinary Shares (Japan)	u
IRLCF	Israel Corp Ordinary Shares	u
IRRHf	Interroll Holdings SA Santonino Ordinary Shares (Switzerland)	u
ITCLF	Interspace Co Ltd, Tokyo Ordinary Shares (Japan)	u
ITELF	Intertrade Co Ltd Tokyo Ordinary Shares (Japan)	u
ITKYF	Intelligence Ltd Tokyo Ordinary Shares	u
ITMAF	Itmedia Inc, Tokyo Ordinary Shares (Japan)	u
ITPC	Intrepid Capital Corporation New Common Stock	u
ITXXF	ITX Corp Tokyo ITX Corp Tokyo (Japan)	u
IUCZF	Industrieholding Cham Ag Cham Ordinary Shares (Switzerland)	u
IWVTF	Intelligent Wave Inc Tokyo Ordinary Shares	u
JAPAF	Japan Tobacco Ordinary Shares	u
JAPRF	Japan Pure Chemical Co Ltd Tokyo Ordinary Shares (Japan)	u
JAPTF	Japan Automobile Auction Inc Tokyo Ordinary Shares (Japan)	u
JCCLF	J-Com Co Ltd, Osaka Ordinary Shares (Japan)	u
JDGCF	Japan Digital Contents Inc Ordinary Shares	u
JHAOF	Johansen As Brdr A&O Common Stock	u
JHOTF	Japan Hotel And Resort Inc, Tokyo Ordinary Shares (Japan)	u
JLGFF	Japan Logistics Fund Inc, Tokyo Ordinary Shares (Japan)	u
JNSTY	Jinhui Shipping & Trans Ltd American Depositary Receipt	u
JORIF	Joint Reit Investment Corp Ordinary Shares (Japan)	u
JPNGF	Justplanning Inc Tokyo Ordinary Shares	u
JPRRF	Japan Prime Realty Investment Corp Ordinary Shares	u
JPXCF	Japan Excellent Inc Toyko Ordinary Shares (Japan)	u

Symbol	Security Name	Market Category
JREIF	Japan Real Estate Investment Inc Tokyo	u
JREPF	J-Rep Co Ltd, Tokyo Ordinary Shares (Japan)	u
JRFIF	Japan Retail Fund Investment Corp Ordinary Shares	u
JSRRF	Japan Single-Residence Reit Inc, Tokyo Ordinary Shares (Japan)	u
JSTBF	Jindal Steel Tubes Ltd Ordinary Shares (India)	u
JSTS	Justiss Oil Inc Common Stock	u
JUPIF	Jupiter Telecommunications Limited Ordinary Shares (Japan)	u
JWDTF	Japan Wind Development Co Ltd Ordinary Shs (Japan)	u
KABHF	Kaba Holding AG Ruemlang Ordinary Shares (Switzerland)	u
KBCLF	Kyungbang Co Ltd Ordinary Shares (South Korea)	u
KBUCF	Kabu.com Securities Co Ltd Tokyo Ordinary Shares (Japan)	u
KCRPY	Kao Corporation Sponsored ADR (Japan)	u
KDDIF	KDDI CORP Ordinary Shares	u
KDXRF	Kenedix Realty Investment Corp Ordinary Shares (Japan)	u
KEWL	Keweenaw Land Association, Limited Common Stock	u
KKDAF	K.K. Da Vinci Advisors K.K. Da Vinci Advisors (Japan)	u
KKKUF	Kakaku.com Inc Tokyo Ordinary Shs (Japan)	u
KKYXF	Keumkang Co Ordinary Shares	u
KLNEF	Korea Line Corp Ordinary Shares	u
KMERF	Komercni Banka As Ord Czk500 Komercni Banka AS (Czech Republic)	u
KNDXF	Kenedix Inc Tokyo Ordinary Shares (Japan)	u
KNKZF	Kws Kleinwanzlebener Saatzucht Ag Ordinary Shares (Germany)	u
KRRQF	Korea Zinc Co Ltd Ordinary Shares (South Korea)	u
KSBBF	Ksb Ag Pfd Nv Rts Ordinary Shares	u
KSUAN	Kansas City Southern 5.125% Perpetual Convertible Preferred	u
KSUAO	Kansas City Southern 4.25% Perp Conv Preferred Stock	u
KSVRF	Ksb Ag Pfd Nv Rts Ordinary Shares	u
KUBKF	Kubotek Corporation Ordinary Shares	u
KUCPF	Kura Corporation Ltd Sakai Ordinary Shares	u
KUIRF	Kuoni Reisen Hldg Reg Sf50par Ordinary Shares	u
KUNAF	Kali & Salz Ag Beteiligungs Ordinary Shares	u
KYCCF	Keyence Corp Ordinary Shares	u
KYTXF	Kyoto Kimono Common Stock	u
LAACZ	Laaco Ltd L P Uts Miscellaneous	u
LACYF	Land Co Ltd Yokohama Ordinary Shares (Japan)	u
LADB	Land Business Co Ltd, Tokyo Ordinary Shares (Japan)	u
LBPHF	LTT Bio-Pharma Co Ltd Tokyo Ordinary Shares (Japan)	u
LCAAF	Leveraged Capital Hldgs Amster Shares Class A (Netherlands Antilles)	u
LCNTU	Louisiana Cent Oil & Gas Co Ut	u
LDSRF	Landstar Inc Ordinary Shares	u

Symbol	Security Name	Market Category
LDSVF	Lindt & Sprungli Ag Part Cert Ordinary Shares	u
LFGEF	Lafarge Sa ACT (France)	u
LGCOF	L G Construction Ordinary Shares	u
LGHHF	LG Household & Healthcare Ltd Ordinary Shares	u
LICT	LICT Corporation Common Stock	u
LMNR	Limoneira Co Common Stock	u
LNZNF	Lenzing Ag Ord Ordinary Shares	u
LOSPF	Lotte Shopping Co Ltd Ordinary Shares (South Korea)	u
LPRLF	La Parler Co Ltd Ordinary Shares	u
LPRRF	Laurent Perrier Tours-Sur-Marne Act. Shares (France)	u
LSKPP	Loral Skynet Corp 12% Preferred Series A	u
LTHYF	Link Theory Holdings Co Ltd Ordinary Shares (Japan)	u
LTTF	Lotte Confectionery Co Ltd Ordinary Shares	u
LTGTF	Lotte Chilsung Beverage Co Ltd Ordinary Shares	u
LTTF	Lotte Chilsung Beverage Co Ltd Lotte Chilsung Beverage Pfd S	u
LUTHP	Lucent Technologies Capital Trust I-7.75% Convertible Trust	u
LVMEF	LCP Investment Corp Tokyo Ordinary Shares (Japan)	u
MAGOF	Man AG Muenchen Ordinary Shares (Germany)	u
MAJJ	Michael Anthony Jewelers, Inc. New Common Stock	u
MAOFF	Macromill Inc Tokyo Ordinary Shares (Japan)	u
MBXIF	Mebix Inc, Tokyo Ordinary Shares (Japan)	u
MCHB	Mechanics Bank (The) (CA) Common Stock	U
MCJKF	MCJ Co Ltd Kasukabe Ordinary Shares (Japan)	u
MCMCF	MK Capital Management Corp, Tokyo Ordinary Shares (Japan)	u
MEDYF	Megastudy Co Ltd Ordinary Shares (South Korea)	u
MHMI	MHM Services, Inc. Common Stock	u
MITEY	Mitsubishi Estate Co Ltd American Depository Receipt	u
MIXIF	Mixi Inc., Tokyo Ordinary Shares (Japan)	u
MKRMF	Merkur Holding Ag Reg Ordinary Shares	u
MMZUF	Mamezou Co Ltd Tokyo Ordinary Shares (Japan)	u
MNAT	Marquette National Corporation Common Stock	u
MNBP	Mars National Bank (PA) Common Stock	u
MNGYF	MOC Corp Nagoya Ordinary Shares (Japan)	u
MNPP	Merchants Natl Pptys Inc Common Stock	u
MNXBF	Monex Beans Holdings Inc Tokyo Ordinary Shares (Japan)	u
MREIF	Mid Reit Inc Osaka Ordinary Shares (Japan)	u
MRGIF	Mori Trust Sogo Reit Inc Tokyo Ordinary Shares (Japan)	u
MSJPF	Morningstar Japan Ordinary Shares	u
MSSGF	Message Co Ltd Kurashiki Ordinary Shares (Japan)	u
MTSWF	Mets Corp Tokyo Ordinary Shares	u

Symbol	Security Name	Market Category
MUASF	Mitsui & Associates Telepark Corp Tokyo Ordinary Shares (Japan)	u
MURGF	Mtd Capital Bhd Ordinary Shares	u
MYBUF	Meyer Burger Technology AG, Baar Namen -AKT (Switzerland)	u
MYTIF	MTI Ltd Tokyo Ordinary Shares (Japan)	u
MZHOF	Mizuho Financial Group Inc Tokyo Ordinary Shares	u
MZIAP	Milacron Inc 4% New Preferred Stock	u
NABZY	National Australia Bank Limited Common Stock	u
NACB	National Capital Bank of Washington (DC) Common Stock	u
NBCHF	Nobel Biocare Holding AG AKT	u
NBFJF	Nippon Building Fund Inc Ordinary Shares (Japan)	u
NCYIF	New City Residence Investment Corp, Tokyo Ordinary Shares (Japan)	u
NDASF	Noda Screen Co Ltd Ordinary Shares	u
NDEKY	Nitto Denko Corporation American Depository Receipt	u
NECZF	NEC Mobiling Ltd Ordinary Shares	u
NEFB	Neffs Bancorp Inc Common Stock	u
NFTYF	Nifty Corp, Tokyo Ordinary Shares (Japan)	u
NHETF	Nihon Enterprise Co Ltd Tokyo Ordinary Shares	u
NHMAF	Nihon M and A Center Inc Ordinary Shares (Japan)	u
NHNCF	NHN Corporation Ordinary Shares (South Korea)	u
NICMF	Nippon Commercial Investment Corp Ordinary Shares (Japan)	u
NIFVF	NIF Ventures Co Ltd Ordinary Shares	u
NILSF	JSC MMC Norilsk Nickel Ordinary Shares	u
NILSY	JSC MMC Norilsk Nickel Spons ADR	u
NINOY	Nikon Corp American Depository Receipt	u
NIPPF	Nippon Accommodations Fund Inc, Tokyo Ordinary Shares (Japan)	u
NMYMF	Niedermeyer Martin Co Ordinary Shares	u
NONCF	Nihon Ceratec Co Ltd Sendai Ordinary Shares (Japan)	u
NONEF	Net One Systems Co Ltd Ordinary Shares	u
NONGF	Nong Shin Co Ltd Ordinary Shares	u
NPGMF	Nippon Game Card Corp Tokyo Ordinary Shares (Tokyo)	u
NPIVF	Nippon Residential Investment Corp, Tokyo Ordinary Shares (Japan)	u
NPPHF	Nippon Hotel Fund Investment Corp Tokyo Ordinary Shares (Japan)	u
NPPNF	Nippon Unipac Holding Tokyo Ordinary Shares (Japan)	u
NPPXF	Nippon Tel & Tel Cp Ordinary Shares	u
NPROF	Nepro Japan Co Ltd, Tokyo Ordinary Shares (Japan)	u
NREOF	Nomura Real Estate Office Fund Inc Tokyo Ordinary Shares (Japan)	u
NRERF	Nomura Real Estate Residential Fund Inc, Tokyo Ordinary Shares	u
NRGEO	NRG Energy, Inc. Preferred Perp Conv	u
NSRGF	Nestle Sa Cham Et Vevey Ordinary Shares	u
NSYC	National Stock Yards Company Common Stock	u

Symbol	Security Name	Market Category
NTBP	New Tripoli Bancorp Inc Common Stock	u
NTDMF	Ntt DoCoMo Inc Ordinary Shares	u
NTDOF	Nintendo Co Ltd-Ord- Ordinary Shares	u
NTGUF	Netage Group Inc, Tokyo Ordinary Shares (Japan)	u
NTPRF	Netprice Ltd Tokyo Ordinary Shares (Japan)	u
NTTDF	N T T Data Comm Sys Ordinary Shares	u
NTUBF	NTT Urban Development Corp Ordinary Shares (Japan)	u
NTVLF	NetVillage Company Ltd Ordinary Shares	u
NWBM	Northwestern Bancorp, Inc. (MI) Common Stock	U
NWSCZ	News Corporation Finance Trust II Sr Exchangeable Bucs	u
NXCLF	Next Co Ltd, Tokyo Ordinary Shares (Japan)	u
NXCMF	Nextcom Kk Ordinary Shares	u
NYNNF	Ninety Nine Plus Inc Tokyo Ordinary Shares (Japan)	u
OBIIF	Obic Co Ltd Ordinary Shares	u
ODKSF	ODK Solutions Co Ltd, Osaka Ordinary Shares (Japan)	u
OERLF	Oc Oerlikon Corporation Inc Namen-Akt Shares (Switzerland)	u
OHTFF	Oht Inc Fukayasu Ordinary Shares (Japan)	u
OKCTF	Okinawa Cellular Telephone Ordinary Shares	u
OPKYF	OPT Inc Tokyo Ordinary Shares (Japan)	u
ORSDF	Orascom Construction Industrie Reg S Global Depositary Receipt	u
ORXCF	Orix Corporation Ordinary Shares	u
ORXJF	Orix Jreit Inc Ordinary Shares	u
OSCUF	Osaka Securities Exchange Co Ltd, Osaka Ordinary Shares (Japan)	u
PAACF	Paraca Inc, Tokyo Ordinary Shares (Japan)	u
PCFOF	Pacific Management Corp Tokyo (Japan)	u
PCTRF	Prospect Residential Investment Corp Tokyo (Japan) Ordinary	u
PDER	Pardee Resources Co Inc Common Stock	u
PDRDF	Pernod Ricard Ord Ordinary Shares	u
PFGFF	Pacific Golf Group International Holdings KK Tokyo Ordinary	u
PGINF	Prestige International Inc Tokyo Ordinary Shares	u
PICJF	Premier Investment Company Ordinary Shares	u
PKXFF	POSCO Ordinary Shares (South Korea)	u
PLIAP	Pliant Corporation Redeemable Pfd Series AA	U
PLWN	Pinelawn Cemetery Common Stock	u
PLWTF	Panalpina Welttransport Holding Ag Binningen Namen Akt Share	u
PMDSF	Pharma Foods International Co Ltd, Kyoto Ordinary Shares (Japan)	u
PMMAF	Puma Ag Rudolf Dassler Sport Ordinary Shares	u
PMNVF	Prime Network Inc Nagoya Ordinary Shares	u
POEYF	Properst Co Ltd Ordinary Shares (Japan)	u
POGHF	Pilot Group Holdings Corp Ordinary Shares Tokyo (Japan)	u

Symbol	Security Name	Market Category
PONT	Pontiac Bancorp Inc Common Stock	u
PPRUF	PPR SA Ordinary Shares	u
PROUF	Produce Co Ltd, Nagaoka Ordinary Shares (Japan)	u
PSEPF	Dr Ing HC F Porsche Ag VORZ.AKT. OHNE STIMMRECHT (German Federal Republic)	u
PSNAF	Pasona Inc Ordinary Shares	u
PVCO	PVC Container Corporation Common Stock	u
QOLCF	Qol Co Ltd, Tokyo Ordinary Shares (Japan)	u
QUCT	Queen City Invst Inc Common Stock	u
RBTEF	Robertet Sa Ordinary Shares	u
RCCCO	Rural Cellular Corporation 12 1/4% Junior Exchangeable Preferred Stock	u
RCFCF	Reicof Co Ltd Ordinary Shares (Japan)	u
RCMRF	Recrm Research Co Ltd Tokyo Ordinary Shares	u
RHHBF	Roche Holdings AG Basel Ordinary Shares	u
RHHQY	Roche Hldgs Ltd. 144A Spons ADR Ex Roche Hldg Inc. LYONS	u
RHHVF	Roche Holdings AG Basel Ordinary Shares	u
RKUNF	Rakuten Inc Ordinary Shares	u
RKWBF	Rockwool Intl Ser A Ordinary Shares	u
RNDOF	Round One Corp Ordinary Shares	u
RPLIF	Re-Plus Inc Tokyo Ordinary Shares (Japan)	u
RPUSF	Re-Plus Residential Investment Inc, Tokyo Ordinary Shares (Japan)	u
RPWSF	Repower Systems AG Namen AKT	u
RSNHF	Resona Holdings Inc Osaka Ordinary Shares (Japan)	u
RSPAF	Risa Partners Inc Tokyo Ordinary Shares (Japan)	u
RSRV	Reserve Pete Co Common Stock	U
RTLLF	Rational Ag Landsberg Am Lech Ordinary Shares	u
RTRZF	Rieter Hld Chf20 (Regd) Ordinary Shares	u
SAHKF	Sapporo Hokuyo Holdings Inc Ordinary Shares	u
SAKYF	Star Mica Co Ltd, Tokyo Ordinary Shares (Japan)	u
SAUHF	Straumann Holding Ag Namen AKT (Switzerland)	u
SBBG	Seibels Bruce Group, Inc. (The) Common Stock	u
SBHGF	SBI Holdings Inc Ordinary Shares	u
SBNC	Southern Bancshares NC Inc Common Stock	u
SBRBF	Sberbank Rossi OAO GDR repstg 1/10 Shs Reg S (Russia)	u
SBSOF	SBS Co Ltd Tokyo Ordinary Shares (Japan)	u
SCGLF	Societe Generale (Ff 30) Ordinary Shares	u
SCJAF	Secured Capital Japan Co Ltd Tokyo Ordinary Shares (Japan)	u
SCWTF	Schweiter Technologies Ag Horgen Ordinary Shares	u
SDAXF	Shidax Corp Ordinary Shares	u
SDKLF	Shinsegae Co Ltd Ordinary Shares	u
SDLJ	Scottdale Bank & Trust Co Pa Common Stock	u

Symbol	Security Name	Market Category
SEBYF	Seb Sa Ordinary Shares	u
SECVF	Seche Environnement Sa Change Ordinary Shares (France)	u
SFFTf	Softfront Inc Ordinary Shares	u
SFFUF	Sun Frontier Fudousan Co Ltd Tokyo Ordinary Shares (Japan)	u
SGANF	S-Grant Co Ltd Tokyo Ordinary Shares (Japan)	u
SGSRF	SGS Societe Generale de Surveillance Holding SA Ordinary Shares	u
SHIWF	Shinwa Art Auction Co Ltd, Tokyo Ordinary Shares (Japan)	u
SIABF	Sia Abrasive Holdings Ag Ordinary Shares	u
SIAPF	Sun Japan Corp Tokyo Shs	u
SKCBF	Shinkin Central Bank Tokyo Pfd Subs Cert (Japan)	u
SKCXF	SK Corporation Ordinary Shares (South Korea)	u
SKFOF	Sika Finanz Ord Bearer Shares	u
SKKYF	SK Electronics Co Ltd Kyoto Ordinary Shares (Japan)	u
SKMTF	Sk Telecom Co Ltd Ord Ordinary Shares	u
SKPJF	Sky Perfect JSAT Corp Ordinary Shares (Japan)	u
SKTPP	Skytop Lodge Corporation Preferred Stock	u
SMFNF	Sumitomo Mitsui Financial Group Inc Ordinary Shares (Japan)	u
SMFYF	Somfy International SA Ordinary Shares (France)	u
SMTHF	Something Holdings Co Ltd, Tokyo Ordinary Shares (Japan)	u
SMYWF	Sammy Networks Co Ltd Tokyo Ordinary Shares (Japan)	u
SNTMF	So-Net M3 Inc Tokyo Ordinary Shares (Japan)	u
SNXTF	Sourcenext Corp Ordinary Shares	u
SOHKF	Sohken Homes Co Ltd Tokyo Ordinary Shares (Japan)	u
SOIKF	Soiken Inc Toyonaka Ordinary Shares (Japan)	u
SOLTF	Sosei Co Ltd Tokyo Ordinary Shares (Japan)	u
SPCSW	SpectraSite, Inc. Warrants 2/10/2010	u
SPIDF	Septeni Company Ltd Tokyo	u
SPXTF	Simplex Technology Inc Ordinary Shares (Japan)	u
SRIKF	Sri Sports Ltd Kobe Ordinary Shares (Japan)	u
SRXXF	Sparx Asset Management Co Ltd	u
SSNLF	Samsung Elec Co Ltd N-Vtg Ordinary Shares	u
SSNNF	Samsung Electronics Co Ltd Pfd Shares Non Voting	u
STBJF	Starbucks Coffee Japan Ltd Tokyo	u
STCMA	Stonecutter Mills Corp Cl A Common Stock	u
STSU	Sterling Sugars, Inc. New Common Stock	u
STTEF	Streettracks ETFS Sicav ETF Exchange Traded Funds	u
SUIYF	Suncity Co Ltd Sendai Ordinary Shares (Japan)	u
SULZF	Sulzer Ag Winterhur Part Ctf Ordinary Shares	u
SWBC	Sunwest Bank (CA) New Common Stock	U
SWDXF	Sunwood Corp Ordinary Shares	u

Symbol	Security Name	Market Category
SWGAF	Swatch Group Ag (The) AKT (Switzerland) Ordinary Shares	u
SWKA	Stanley Works (The) Equity Unit	u
SWZCF	Swisscom Ag Ittigen Reg Shs Ordinary Shares	u
SWZVF	Schweizerische National Versicherungs Gesells Basel Namen-Ak	u
SXIVF	Simplex Investment Advisors Inc Tokyo Ordinary Shares (Japan)	u
SYCMF	Sony Communication Network Corp Tokyo Ordinary Shares (Japan)	u
SYENF	Syngenta Ag Basel Ordinary Shares	u
SYHUF	Sanyo Housing Nagoya Co Ltd Ordinary Shares	u
SYLFF	Stylife Corp, Tokyo Ordinary Shares (Japan)	u
SYPOF	Systempro Co Ltd Ordinary Shares	u
SZGPF	Salzgitter AG Peine AKT Shares (Germany)	u
SZLMF	Schweizerische Lebensversicherungs und Rentenanstalt Zuerich	u
SZVZF	Samsung Fire & Marine Ins Pfd Ordinary Shares	u
SZZAF	Samchully Co Ltd Ordinary Shares (South Korea)	u
TCTHF	Tact Home Co Ltd Tokyo Ordinary Shares (Japan)	u
TGFRF	Thermador Groupe SA Ordinary Shares (France)	u
TGIVF	TGR Investment Inc Tokyo Ordinary Shares (Japan)	u
TGYFF	Tong Yang Confect Ordinary Shares	u
TKBIF	Takara Bio Inc Ohtsu Ordinary Shares (Japan)	u
TKEDF	Tokyo Electron Device Ltd Yokohama Ordinary Shares (Japan)	u
TKGVF	Take and Give Needs Co Ltd Take and Give Needs Co Ltd Tokyo	u
TKURF	Tokyu Reit Inc Tokyo Ordinary Shares (Japan)	u
TKWIF	Tae Kwang Industrial Co Ltd Ordinary Shares (South Korea)	u
TLWVF	Telewave Inc Tokyo Ordinary Shares (Japan)	u
TMLOF	Techno Mathematical Co LTD, Tokyo Ordinary Shares (Japan)	u
TMPSF	Tempstaff Co Ltd Tokyo Ordinary Shares (Japan)	u
TNECF	Thine Electronics Inc Tokyo Ordinary Shares	u
TOETF	Tosei Corp Tokyo Ordinary Shares (Japan)	u
TORLF	Toridoll.Corp, Kakogawa Ordinary Shares (Japan)	u
TOWP	Tower Properties Company New Common Stock	u
TPRYF	Top Reit Inc, Tokyo Ordinary Shares (Japan)	u
TRAQO	Terra Industries Inc. 4.25% Preferred Shares Perp. Conv. Series A	u
TRBOF	Turbolinux Inc, Tokyo Ordinary Shares (Japan)	u
TRKX	Trek Resources, Inc. Common Stock	u
TSTRP	TerreStar Corporation Preferred Convertible Series B	u
TSUKY	Toyo Suisan Kaisha Ltd American Depositary Receipt	u
TYSRF	Tokyo Star Bank Ltd Ordinary Shares (Japan)	u
UKRNY	Ukrnafta Ord American Depositary Receipt	u
UMICF	Umicore SA Ordinary Shares (Belgium)	u
UNBLF	Unibail Sa (Union du Credit Bail Immobilier) ACT (France)	u

Symbol	Security Name	Market Category
USJCF	USJ Co Ltd, Osaka Ordinary Shares (Japan)	u
USRE	USA Real Estate Investment Trust Common Stock	u
UTLGF	United Technology Holdings Co Ltd, Tokyo Ordinary Shares (Japan)	u
UUICF	United Urban Investment Corp Tokyo Ordinary Shares (Japan)	u
UVVZP	Universal Corporation 6.75% Convertible Perpetual Preferred	u
UZAPF	Unique Zurich Airport Ag Ordinary Shares	u
VCCLF	Valuecommerce Co Ltd, Tokyo Ordinary Shares (Japan)	u
VCHYF	V Technology Co Ltd Ordinary Shares	u
VGJNF	Verisign Japan K.K. Tokyo Ordinary Shares (Japan)	u
VLKAF	Volkswagen A G Ord Ordinary Shares	u
VLOUF	Valloourec S.A. Ord Ordinary Shares	u
VRNWF	Variosecure Networks Inc, Tokyo Ordinary Shares (Japan)	u
VVNGF	Village Vanguard Co Ltd Nagakutecho Ordinary Shares (Japan)	u
WACMF	Wacom Co Ltd Shs	u
WBCWF	Webcrew Inc Tokyo Ordinary Shares (Japan)	u
WDLFF	World Logi Co Ltd Osaka Ordinary Shares (Japan)	u
WJRYF	West Japan Railway Co Ordinary Shares	u
WKCMF	Wacker Chemie Ag Muenchen Ordinary Shares (Germany)	u
WKSAF	Works Applications Co Ltd Tokyo (Japan)	u
WLIC	Western Lime & Cement Common Stock	u
WNDLF	Wendel Investissement ACT (France) EUR	u
WNRP	West Suburban Bancorp, Inc. Common Stock	u
WOINF	Wowow Inc Tokyo Ordinary Shares	u
WTBFB	W T B Financial Corp Cl-A Common Stock	u
XAND	Xanadoo Company Common Stock	u
XHFNF	Xinhua Finance Limited Ordinary Shares (Cayman Islands)	u
XMSRZ	XM Satellite Radio Holdings Inc. Warrants to purchase Class	U
XNTCF	Xnet Corp Ordinary Shares	u
YACHF	Yachiyo Bank Ltd, Tokyo Ordinary shares (Japan)	u
YAHOF	Yahoo Japan Corp Ordinary Shares	u
YCRG	York Corrugating Co Common Stock	u
YMNMF	Yume No Machi Souzou Iinkai Co Ltd, Osaka Ordinary Shares (Japan)	u
YMZNF	Yamazaki Co Ltd Ordinary Shares	u
YNOYF	Yoshinoya D&C Co Ltd Ordinary Shares	u
YUHNF	Yu Han Corporation Ordinary Shares	u
ZECSF	ZECS Co Ltd Shs	u
ZEHBF	Zehnder Holding Part Cfts Ordinary Shares	u
ZFSVF	Zuerich Financial Services Zuerich Ordinary Shares	u
ZPHRF	Zephyr Co Ltd Tokyo (Japan)	u
ZPLIF	Zappallas Inc Tokyo Ordinary Shares (Japan)	u
ZTKYF	Zentek Technology Japan Inc Tokyo (Japan)	u