

This Order has been published by NASD's Office of Hearing Officers and should be cited as OHO Order 06-46 (EAF0400300001).

**NASD OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

Respondents.

Disciplinary Proceeding  
No. EAF0400300001

Hearing Officer-SNB

**ORDER DIRECTING THE PARTIES TO CEASE SENDING NON-COMPLIANT COMMUNICATIONS TO THE OFFICE OF HEARING OFFICERS**

It has come to the Hearing Officer's attention that the parties recently began sending the Case Administrator copies of their email communications. The Hearing Officer has not seen these communications, and they shall not be part of the record. The parties are directed to stop sending emails to the Case Administrator. If there are issues that the parties wish the Hearing Officer to consider, they shall be communicated by filing a motion in compliance with NASD rules and the Scheduling Order in this matter.

**SO ORDERED.**

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Sara Nelson Bloom  
Hearing Officer

Dated: October 10, 2006