

This Order has been published by NASD's Office of Hearing Officers and should be cited as OHO Order 06-38 (EAF0400300001).

NASD OFFICE OF HEARING OFFICERS

DEPARTMENT OF ENFORCEMENT,	
	Disciplinary Proceeding
Complainant,	No. EAF0400300001
v.	Hearing Officer – SNB
Respondents.	

ORDER GRANTING PARTIES LEAVE TO OFFER EXPERT TESTIMONY AND AMENDING SCHEDULE FOR HEARING

On June 16, 2006, the Department of Enforcement (“Enforcement”) filed a Motion to Offer Expert Testimony. On June 19, 2006, Respondents filed their Expert Witness Designations. No objections were filed. For good cause shown, the parties are granted leave to offer testimony of their designated experts at the hearing. As noted in the scheduling order, the parties shall file expert reports no later than September 29, 2006, and the Hearing Officer may determine to admit these expert reports in place of direct testimony by the expert. If this is the case, the expert shall be available for cross examination by the opposing party.

In addition, consistent with the September 15, 2006, pre-hearing conference in this matter, the scheduling order is hereby amended to remove one hearing date,

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November 10, 2006. Accordingly, the hearing in this matter shall be held on November 6 through 9, 2006; November 13 and 14, 2006; and December 4 through 8, 2006.¹

SO ORDERED.

Sara Nelson Bloom
Hearing Officer

Dated: September 15, 2006

¹ At the pre-hearing conference, the Hearing Officer also indicated that it would be necessary to adjourn the hearing early on November 9, 2006. However, this early adjournment will not be necessary.