

**FINANCIAL INDUSTRY REGULATORY AUTHORITY  
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

NAS ADEL ALLAN  
(CRD No. 4562149),

GREGORY J. ANASTOS  
(CRD No. 5800831),

and

WINDSOR STREET CAPITAL, f/k/a  
MEYERS ASSOCIATES, L.P.  
(BD No. 34171)

Respondent.

Disciplinary Proceeding  
No. 2015046971701

Hearing Officer—LOM

**ORDER GRANTING ENFORCEMENT'S MOTION  
FOR LEAVE TO OFFER TELEPHONE OR VIDEOCONFERENCE TESTIMONY**

On April 10, 2018, the Department of Enforcement filed a motion seeking leave to present the testimony of three witnesses at the hearing either by telephone or videoconference. Those three witnesses are IR, the sole living customer whose account is the subject of the allegations in this case; DP, who is IR's accountant; and EL, who assisted IR and his now-deceased wife with estate planning and financial services.

None of these witnesses resides in or works near New York City, where the hearing is scheduled to be held. None of these witnesses is associated or registered with a FINRA member. Each witness, for different reasons, has indicated that he is unable or unwilling to travel to New York to testify in person, although all three have agreed to testify by telephone or videoconference.

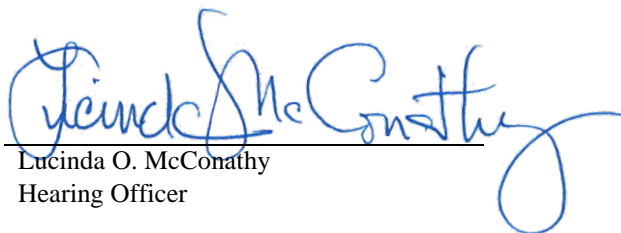
Enforcement amply demonstrated in its motion that the anticipated testimony of the three witnesses is likely to be relevant and material. It also committed to taking all reasonable steps to ensure that the testimony taken by telephone or videoconference will occur in circumstances that will permit a full and fair opportunity for cross-examination of each witness. As Enforcement

argues, there is ample precedent to support the motion. Hearing panels routinely grant motions for hearing testimony taken by means of the telephone or a videoconference.<sup>1</sup>

When contacted by Enforcement regarding its motion, Respondent Nas Adel Allan stated that he consented to it. Respondent Windsor Street Capital stated that it did not intend to oppose the motion. Respondent Gregory J. Anastos, however, did not respond to Enforcement's inquiries with respect to its position on the motion.

Under FINRA Rule 9146(d) a party has 14 days from the time a motion is served to object. The time for any objection to Enforcement's motion by Anastos has lapsed. Accordingly, for good cause shown, the motion to take hearing testimony of the three witnesses by telephone or videoconference is GRANTED.

**SO ORDERED.**

  
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Lucinda O. McConathy  
Hearing Officer

Dated: April 25, 2018

Copies to:

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<sup>1</sup> See, e.g., OHO Order 15-14 (2012030564701) (motion granted for telephone testimony of customer witnesses).