

OTC Equity Symbol Request Form

Firm Information

FIRM NAME: _____ MPID: _____
 CONTACT NAME: _____
 TELEPHONE: _____ EMAIL: _____

Issue Information

1. ISSUE NAME: _____ CUSIP/CINS NUMBER: _____

2. SECURITY DESCRIPTION:

American Depository Receipt/Shares Sponsored Un-sponsored ADR Ratio: _____
 ISIN for underlying Shares: _____ Depository Bank: _____
 F-6 filed with SEC? Yes, effective date: _____ No

Global Depository Receipt/Shares* Sponsored Un-sponsored GDR Ratio: _____
 ISIN for underlying Shares: _____ Depository Bank: _____

Common Stock Common Shares Foreign Ordinary Shares Limited Partnership Interests
 Preferred Stock REIT Rights Seasoned Reg S Shares*
 Seasoned Restricted Shares* Shares of Beneficial Interest Units Units of Beneficial Interest
 Warrants Other, please describe: _____

**Note: To the extent that this security has not been registered under the Securities Act of 1933, but is nonetheless publicly tradeable in the U.S. (due to its being traded as part of an exempt transaction or otherwise), a letter of tradeability must be submitted with this OTC Equity Symbol Request form explaining in detail the grounds for its public tradeability.*

3. SECURITY CLASS (if applicable): _____

4. EXPIRATION DATE (if applicable): _____

5. IS ISSUER AN SEC REPORTING COMPANY? Yes, SEC CIK #: _____ No

6. COUNTRY OF INCORPORATION: _____ IF US, STATE OF INCORPORATION: _____

7. DOES THE ISSUE TRADE ON A FOREIGN EXCHANGE? Yes, please provide information below No
 Name of Foreign Exchange: _____ Foreign Issue Symbol: _____

8. DOES THE ISSUER HAVE ANOTHER CLASS OF SECURITY LISTED ON A US EXCHANGE? Yes, please provide information below No
 Name of US Exchange: _____ Issue Symbol: _____

Reason for Request

EXECUTED TRADE AND THERE IS NO OTC EQUITY SYMBOL

Trade Date: _____ Price: _____ # of Shares: _____

NEW ISSUE

Is the issue expected to trade on an Exchange? Yes, please provide information below No

Name of Exchange: _____ Issue Exchange Symbol: _____

Submission of Notification and Supporting Documentation

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