

SECURITIES AND EXCHANGE
COMMISSION

[Release No. 34-48407A; File No. SR-
NASD-00-08]

**Self-Regulatory Organizations; Order
Approving Proposed Rule Change and
Notice of Filing and Order Granting
Accelerated Approval of Amendment
Nos. 1 and 2 by the National
Association of Securities Dealers, Inc.
Relating to Margin Requirements;
Correction**

February 5, 2004.

Release No. 34-48407 (the "Release"), issued on August 25, 2003, and published in the **Federal Register** on September 2, 2003,¹ contained an error in Part IV.² Specifically, Part IV of the Release stated that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$40 million and financial assets of at least \$45 million" and that met other specified requirements. The financial requirement for exempt accounts was stated incorrectly and should indicate that an "exempt account" includes any person that has net worth of at least \$45 million and financial assets of at least \$40 million. Accordingly, Part IV of the Release should be revised to state that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$45 million and financial assets of at least \$40 million" and that meets the other requirements for exempt accounts specified in the Release.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.³

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 04-3025 Filed 2-11-04; 8:45 am]

BILLING CODE 8010-01-P

SECURITIES AND EXCHANGE
COMMISSION

[Release No. 34-48365A; File No. SR-
NYSE-98-14]

**Self-Regulatory Organizations; Order
Approving Proposed Rule Change and
Amendment Nos. 1, 2, and 3 by the
New York Stock Exchange, Inc.
Relating to Margin Requirements;
Correction**

February 5, 2004.

Release No. 34-48365 (the "Release"), issued on August 19, 2003, and published in the **Federal Register** on August 26, 2003,¹ contained errors in Part II.C.3 and in Part IV.² Specifically, Part II.C.3 of the Release stated that the proposal defined an "exempt account" to include "any person that has a net worth of at least \$40 million and financial assets of at least \$45 million" and that met other specified requirements. Part IV of the Release stated that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$40 million and financial assets of at least \$45 million" and that met other specified requirements. In both cases, the financial requirements for exempt accounts were stated incorrectly. The financial requirements should state that an "exempt account" includes any person that has net worth of at least \$45 million and financial assets of at least \$40 million.

Accordingly, Part II.C.3 of the Release should be revised to state that an "exempt account" includes "any person that has a net worth of at least \$45 million and financial assets of at least \$40 million" and that meets the other requirements for exempt accounts specified in the Release. Part IV of the Release should be revised to state that "the definition of exempt account is limited to certain regulated entities as well as to persons with net worth of at least \$45 million and financial assets of at least \$40 million" and that meets the other requirements for exempt accounts specified in the Release.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.³

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 04-3108 Filed 2-11-04; 8:45 am]

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¹ See 68 FR 51314.

² See 68 FR 51314, 51315 and 51316.

³ 17 CFR 200.30-3(a)(12).

SMALL BUSINESS ADMINISTRATION

**Data Collection Available for Public
Comments and Recommendations**

ACTION: Notice and request for comments.

SUMMARY: In accordance with the Paperwork Reduction Act of 1995, this notice announces the Small Business Administration's intentions to request approval on a new and/or currently approved information collection.

DATES: Submit comments on or before April 12, 2004.

ADDRESSES: Send all comments regarding whether these information collections are necessary for the proper performance of the function of the agency, whether the burden estimates are accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collections, to Carol Fendler, Director, Office of Licensing and Program Standards, Small Business Administration, 409 3rd Street SW., Suite 6300, Washington, DC 20416

FOR FURTHER INFORMATION CONTACT:

Carol Fendler, Director, 202-205-7559 or Curtis B. Rich, Management Analyst, 202-205-7030.

SUPPLEMENTARY INFORMATION:

Title: "Size Status Declaration."
Description of Respondents: Small Businesses Requesting Size Determinations.

Form No.: 480.
Annual Responses: 4,200.
Annual Burden: 700.

Title: "SBIC Financial Reports."
Description of Respondents: Small Business Investment Companies.

Form No.: 468.
Annual Responses: 1,040.
Annual Burden: 16,480.

Title: "Portfolio Financing Reports."
Description of Respondents: Small Business Investment Companies.

Form No.: 1031.
Annual Responses: 2,100.
Annual Burden: 420.

Title: "Stockholder's Confirmation (Corporation); Ownership Confirmation (Partnership)."

Description of Respondents: Newly Licensed SBIC's.

Form No.: 1405.
Annual Responses: 600.
Annual Burden: 600.

Title: "Financing Eligibility Statement—social disadvantaged Economic Disadvantaged."

Description of Respondents: Small Businesses seeking financing from Specialized Small Business Investment Companies (SSBIC).

¹ See 68 FR 52259.

² See 68 FR 51314, 51315 and 51316.