

## OMB APPROVAL

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Page 1 of 22



1. Text of Proposed Rule Change

(a) Pursuant to the provisions of Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”),<sup>1</sup> the Financial Industry Regulatory Authority, Inc. (“FINRA”) (f/k/a National Association of Securities Dealers, Inc

associated persons and members will govern list selection and the administration of the arbitration proceeding.

**(b) [Other] Disputes Between Associated Persons or Between or Among Members and Associated Persons**

(1) - (2) No change.

(c) No change.

(d) No change.

































