Page 1 of 2							
age 1 of 2							OMB Number: 3235-00 Expires: August 31, 20 Estimated average burden hours per response
			D EXCHANGE C IGTON, D.C. 20 Form 19b-4		Ν		. SR - 2003 - 140 ment No.
-	le Change by Nationa Rule 19b-4 under the S						
nitial	Amendment	Withdrawal	Section 19(b))(2)	Section 19(b		Section 19(b)(3)(B)
not	nsion of Time Period commission Action	Date Expires 06-17-2010			19b-4(f)(1) [19b-4(f)(2) [19b-4(f)(3) [19b-4(f)(4) 19b-4(f)(5)	
	Paper Document	Exhibit 3 Sent As Pa	aper Document				
Contact Info	rmation						
Provide the na	rmation ame, telephone number spond to questions and				the self-regula	itory organiza	tion
Provide the na prepared to re	ame, telephone number espond to questions and			ange.	the self-regula	itory organiza	tion
Provide the na prepared to re First Name	ame, telephone number espond to questions and Racquel Assistant General Cou	d comments on the	proposed rule ch	ange.	the self-regula	itory organiza	tion
Provide the na prepared to re First Name F Title A E-mail r	ame, telephone number rspond to questions and Racquel Assistant General Cou racquel.russell@finra.c	d comments on the	proposed rule ch	ange.	the self-regula	itory organiza	tion
Provide the na prepared to re First Name F Title F E-mail r	ame, telephone number espond to questions and Racquel Assistant General Cou racquel.russell@finra.co	d comments on the	proposed rule ch	ange.	the self-regula	itory organiza	tion
Provide the na prepared to re First Name F Title A E-mail r Telephone (Signature Pursuant to the has duly cause Date 05/19/2	ame, telephone number espond to questions and Racquel Assistant General Cou racquel.russell@finra.c 202) 728-8363	d comments on the nsel rg Fax (202) 728-82 ecurities Exchange	proposed rule ch Last Name 64 Act of 1934,	ange. Russell	authorized offi	cer.	tion
prepared to re First Name F Title A E-mail r Telephone (Signature Pursuant to the has duly cause Date 05/19/2	ame, telephone number espond to questions and Racquel Assistant General Cou racquel.russell@finra.co (202) 728-8363	d comments on the nsel rg Fax (202) 728-82 ecurities Exchange	proposed rule ch Last Name 64 Act of 1934, e undersigned the	ange. Russell	authorized offi	cer.	tion
Provide the na prepared to re First Name F E-mail r Telephone (Signature Pursuant to the has duly cause Date 05/19/ By Gary C	ame, telephone number espond to questions and Racquel Assistant General Cou racquel.russell@finra.c 202) 728-8363	d comments on the nsel Fax (202) 728-82 ecurities Exchange d on its behalf by th	proposed rule ch Last Name 64 Act of 1934, e undersigned the	ange. Russell ereunto duly and Associa	authorized offi	cer.	tion

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549						
For complete Form 19b-4 instructions please refer to the EFFS website.						
Form 19b-4 Information Add Remove View	The self-regulatory organization must provide all required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.					
Exhibit 1 - Notice of Proposed Rule Change	The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)					
Exhibit 2 - Notices, Written Comments, Transcripts, Other Communications Add Remove View Exhibit Sent As Paper Document	Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.					
Exhibit 3 - Form, Report, or Questionnaire Add Remove View Exhibit Sent As Paper Document	Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.					
Exhibit 4 - Marked Copies Add Remove View	The full text shall be marked, in any convenient manner, to indicate additions to an deletions from the immediately preceding filing. The purpose of Exhibit 4 is to per the staff to identify immediately the changes made from the text of the rule with whi it has been working.					
Exhibit 5 - Proposed Rule Text Add Remove View	The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.					
Partial Amendment Add Remove View	If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.					