

OMB APPROVAL

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 hours per response.....38

Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 2	SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 Form 19b-4	File No.* SR - 2005 - * 100 Amendment No. (req. for Amendments *)																					
Proposed Rule Change by National Association of Securities Dealers Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934																							
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;"> Initial * ☒ Amendment * ☐ Withdrawal ☒ </td> <td style="width: 33%;"> Section 19(b)(2) * ☒ </td> <td style="width: 33%;"> Section 19(b)(3)(A) * ☐ Rule </td> </tr> <tr> <td colspan="3" style="text-align: center; background-color: #ffcccc;"> Caution: You are withdrawing this filing </td> </tr> <tr> <td> Pilot ☐ Extension of Time Period for Commission Action * </td> <td> Date Expires * </td> <td> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 16.6%;">19b-4(f)(1)</td> <td style="width: 16.6%;">19b-4(f)(2)</td> <td style="width: 16.6%;">19b-4(f)(3)</td> <td style="width: 16.6%;">19b-4(f)(4)</td> <td style="width: 16.6%;">19b-4(f)(5)</td> <td style="width: 16.6%;">19b-4(f)(6)</td> </tr> <tr> <td>☐</td> <td>☐</td> <td>☐</td> <td>☐</td> <td>☐</td> <td>☐</td> </tr> </table> </td> </tr> </table>			Initial * ☒ Amendment * ☐ Withdrawal ☒	Section 19(b)(2) * ☒	Section 19(b)(3)(A) * ☐ Rule	Caution: You are withdrawing this filing			Pilot ☐ Extension of Time Period for Commission Action *	Date Expires *	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 16.6%;">19b-4(f)(1)</td> <td style="width: 16.6%;">19b-4(f)(2)</td> <td style="width: 16.6%;">19b-4(f)(3)</td> <td style="width: 16.6%;">19b-4(f)(4)</td> <td style="width: 16.6%;">19b-4(f)(5)</td> <td style="width: 16.6%;">19b-4(f)(6)</td> </tr> <tr> <td>☐</td> <td>☐</td> <td>☐</td> <td>☐</td> <td>☐</td> <td>☐</td> </tr> </table>	19b-4(f)(1)	19b-4(f)(2)	19b-4(f)(3)	19b-4(f)(4)	19b-4(f)(5)	19b-4(f)(6)	☐	☐	☐	☐	☐	☐
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Description Provide a brief description of the proposed rule change (limit 250 characters, required when Initial is checked *). <div border="1" style="padding: 5px; margin-top: 5px;"> Proposed rule change requiring members, subject to specified exceptions, to provide customers in transactions in TRACE-Eligible Securities additional disclosures and to notify customers of the availability of a disclosure document discussing debt securities. </div>																							
Contact Information Provide the name, telephone number and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the proposed rule change. <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td style="width: 50%;">First Name * Sharon </td> <td style="width: 50%;">Last Name * Zackula </td> </tr> <tr> <td colspan="2">Title * Associate Vice President and Associate General Counsel </td> </tr> <tr> <td colspan="2">E-mail * sharon.zackula@finra.org </td> </tr> <tr> <td>Telephone * (202) 728-8985 </td> <td>Fax (202) 728-8264 </td> </tr> </table>			First Name * Sharon	Last Name * Zackula	Title * Associate Vice President and Associate General Counsel		E-mail * sharon.zackula@finra.org		Telephone * (202) 728-8985	Fax (202) 728-8264													
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Signature Pursuant to the requirements of the Securities Exchange Act of 1934, has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized officer. <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 10px;"> <tr> <td style="width: 35%;"> Date 09/14/2012 By Patrice Gliniecki <div style="text-align: center;">(Name *)</div> </td> <td style="width: 65%;"> Senior Vice President and Deputy General Counsel <div style="text-align: center;">(Title *)</div> </td> </tr> </table> <div 10px;"="" margin-top:="" style=""> NOTE: Clicking the button at right will digitally sign and lock this form. A digital signature is as legally binding as a physical signature, and once signed, this form cannot be changed. <div style="text-align: center; margin-top: 10px;"> Patrice Gliniecki, </div> </div>			Date 09/14/2012 By Patrice Gliniecki (Name *)	Senior Vice President and Deputy General Counsel (Title *)																			
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SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFS website.

Form 19b-4 Information (required)

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The self-regulatory organization must provide all required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.

Exhibit 1 - Notice of Proposed Rule Change (required)

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

Exhibit 2 - Notices, Written Comments, Transcripts, Other Communications

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Exhibit Sent As Paper Document

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Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

Exhibit 3 - Form, Report, or Questionnaire

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Exhibit Sent As Paper Document

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Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

Exhibit 4 - Marked Copies

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The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

Exhibit 5 - Proposed Rule Text

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The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.

Partial Amendment

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If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.