Web CRD/IARD Snapshot Request Form

FINRA provides Web CRD®/IARD™ "Snapshots" to persons who currently are or have been registered with a broker-dealer or investment adviser firm. If you are currently registered with a broker-dealer as a registered representative or with an investment adviser firm as an investment adviser representative, you may request a Snapshot directly from your firm's registration, licensing or compliance department. FINRA does not accept requests for Snapshots from third parties, but will provide Snapshots to third parties if authorized to do so by the individual who is the subject of the Snapshot. Please note: FINRA cannot ensure that Snapshots mailed to the individual/entity specified below will not be opened by another individual at the address where the Snapshot is mailed. The location that receives the Snapshot may have mail handling procedures where mail is reviewed prior to delivery to the intended recipient.

You may fax or mail your request to FINRA at the address or fax number below.

Mailing Address: FINRA
Registration & Disclosure, Reg Srvcs & Ops
9509 Key West Avenue
Rockville, Maryland 20850

Fax Number: (301) 216-3716

Full Name: _____ _____ _____
First Middle Last

Telephone Number: ( ) ----

CRD Number: **OR**

Month/Day of Birth and Last 4 Digits of Social Security Number: _____ _____
Birth Month/Day (MM/DD) SSN (last 4 digits)

Please mail a copy of my Snapshot to (check one of the following):

☐ My residential address of record in Web CRD

☐ My current residential address indicated below (if you are no longer FINRA registered, FINRA will update your residential address of record in Web CRD with the address provided)

☐ The individual/entity indicated at the address below

Name

Street Address

City State Zip Code

I hereby authorize FINRA to mail a copy of my Snapshot to me or the individual/entity specified above.

Signature: **Date:**