



Attn: Trading and Market Making / Legal and Compliance / Operations / Systems
UNIFORM PRACTICE ADVISORY (UPC # 013-2004) January 27, 2004

Alterra Healthcare Corporation – Common Stock (OTC:ATHCQ)

Notice has been received that the above Company's Second Amended Plan of Reorganization (Plan) filed under Chapter XI of the Federal Bankruptcy Code, became effective on December 4, 2003. Pursuant to the Plan, holders of the above referenced securities will **not** receive any distributions of property on account of their interests.

Members are reminded of their obligations under NASD Conduct Rule 2310 if they continue to engage in transactions in the above security after the effective date.

Members are further advised that deliveries in settlement of contracts in the **OLD** securities, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) the **OLD** security; or b) a *Letter of Indemnity* which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Uniform Practice Code Rule 11530.

Peregrine Systems, Inc. - Common Stock (OTC:PRGNQ)

Notice has been received that the above Company's Plan of Reorganization (Plan) filed under Chapter XI of the Federal Bankruptcy Code, became effective on August 7, 2003.

Under the Plan, holders of record August 7, 2003 received 1 share of NEW common stock for every 48.7548 shares of OLD common stock held.

Since surrender of the OLD certificates was not required and were deemed worthless as of the effective date, deliveries after August 7, 2003 in settlement of contracts in OLD common stock should have been evidenced by the equivalent in NEW common stock.

Questions regarding this notice should be directed to: Market Integrity Department, 203.375.9609.

Tara Petta
Associate Director