

Attn: Trading and Market Making / Legal and Compliance / Operations / Systems
UNIFORM PRACTICE CODE ADVISORY (UPC # 036-2009) October 2, 2009
Northfield Laboratories Inc. – Common Stock (OTC: NFLDQ)

Notice has been received that the above Company's Joint Plan of Reorganization (Plan) filed under Chapter XI of the Federal Bankruptcy Code, became effective on September 25, 2009. Pursuant to the Plan, holders of the above referenced securities will receive a pro rata Distribution of any available Liquidation Proceeds that remain after the payment and satisfaction of Allowed Class 2 General Unsecured Claims, including payment of interest at the legal rate from the Petition Date on all Allowed Class 2 General Unsecured Claims.

Members are reminded of their obligations under NASD Conduct Rule 2310 if they continue to engage in transactions in the above security after the effective date.

Members are further advised that deliveries in settlement of contracts in the **OLD** securities, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) the **OLD** security; or b) a *Letter of Indemnity* which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Uniform Practice Code Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations, 866.776.0800.