

Attn: Trading and Market Making / Legal and Compliance / Operations / Systems
UNIFORM PRACTICE CODE ADVISORY (UPC # 070-2009) December 29, 2009
TVIA, Inc – (OTC: TVIAQ)

Notice has been received that the above Company's Joint Plan of Reorganization (Plan) filed under Chapter XI of the Federal Bankruptcy Code, became effective on December 28, 2009. Pursuant to the Plan, each share of the Company's common stock issued and outstanding immediately prior to the Effective Date, shall automatically be cancelled and converted into the right to receive (a) a proportionate interest in a disbursement escrow to be set up under the Plan (the "Disbursement Escrow") or (b) a proportionate interest in the entity that emerges from the bankruptcy (the "Reorganized Debtor"). Only those stockholders who held 15,000 shares or more of the Company's common stock as of October 28, 2009, and validly selected a proportionate interest in the Reorganized Debtor when such stockholder submitted its ballot to approve or reject the Plan will have the right to receive a proportionate interest in the Reorganized Debtor. All other stockholders will receive a proportionate interest in the Disbursement Escrow. The Company is in the process of entering into an agreement with its transfer agent, which will act as the disbursement agent, pursuant to which a letter of transmittal will be sent to the Company's stockholders advising such stockholders how to exchange their shares for the interest to which they are entitled.

Members are reminded of their obligations under NASD Conduct Rule 2310 if they continue to engage in transactions in the above security after the effective date.

Members are further advised that deliveries in settlement of contracts in the OLD securities, which were executed prior to the announcement that the securities had been deemed worthless, shall be evidenced by either a) the OLD security; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to Uniform Practice Code Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations, 866.776.0800.