

**Attn: Trading and Market Making/Legal and
Compliance/Operations/Systems**
UNIFORM PRACTICE ADVISORY (UPC #41-12) 10/5/2012
Capmark Financial Group, Inc. (CPMK)

On Friday, October 5, 2012, the Financial Industry Regulatory Authority, Inc. ("FINRA") temporarily halted trading in the securities of Capmark Financial Group, Inc. (OTC Symbol: CPMK) pursuant to Rule 6440(a)(3). FINRA determined to impose a temporary halt because FINRA believed the trading in the CPMK security demonstrated a potential widespread misunderstanding related to the dividend and ex-date announcement, which, in the view of FINRA, may have the potential to cause major disruption to the marketplace and/or significant uncertainty in the settlement and clearance process. The trading and quotation halt began on Friday, October 5, 2012, at 9:30:46 a.m. E.T. and will terminate the trading halt on Monday, October 8, 2012, at 9:30:00 a.m. E.T.

Additionally, pursuant to Rule 11893(a), FINRA has reviewed the trading in CPMK for October 3, 2012, and October 4, 2012, and has determined to rule all such transactions in CPMK to be null and void in order to maintain a fair and orderly market and to protect investors and the public interest. Pursuant to 11893(a), FINRA has determined that this ruling is not eligible for appeal. All firms with executions are required to cancel all trades and submit reversal transactions.

Questions regarding this notice can be directed to: FINRA Operations at (866) 776-0800.