



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #07-19) 2/27/2018
Nighthawk Energy PLC (NHEGO)

Notice has been received that the above Company's Amended Joint Plan of Liquidation became effective on 2/06/2019. Pursuant to the plan, Holders of equity interests in the debtors shall not receive a distribution under the plan on account of their equity interests and such equity interests shall be cancelled under the plan on the effective date, or as soon thereafter as such cancellation can be formally effectuated under applicable law. Please consult the company's bankruptcy filings for thorough details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See e.g., *In re: NIGHTHAWK ROYALTIES LLC, et al*, Debtors. Chapter 11 Case No. 18-10989 (BLS) (Jointly Administered) First Amended Joint Chapter 11 Plan of Liquidation Of Nighthawk Royalties LLC and Its Affiliated Debtors and Debtors in Possession.