



**(Refer to UPC#36-2017) Trading and Market Making/Legal and Compliance/Operations/Systems**

**UNIFORM PRACTICE ADVISORY (UPC #38-2017) Date September 27, 2017**

**WHEN, AS AND IF ISSUED SETTLEMENT DATES**

**ISSUE**

**SETTLEMENT DATE**

**Issuer Name: Gladstone Capital Corp (GDDLV)**

**September 29, 2017**

**Class of Security: Term Preferred Series 2024**

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.