



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC #53-16) 10/17/2016**  
**Goodrich Petroleum Corporation (GDAOQ, GDUEQ, GDPMQ, GDANQ, GDRRO, GDPAQ)**

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Notice has been received that the above Company's First Amended Joint Plan of Reorganization (Plan) filed under Chapter 11 of the Federal Bankruptcy Code, became effective on 10/12/2016. Pursuant to Section 4.10 of the Plan, "...on the Effective Date, all promissory notes, stock, instruments, indentures, bonds, agreements, certificates or other documents evidencing, giving rise to, or governing any Second Lien Notes and Unsecured Notes Claims against the Debtors and the Equity Interests in Goodrich shall be automatically extinguished, cancelled, and of no further force and effect, without any further act, or action under any applicable agreement, law, regulation, order, or rule and shall represent only the right, if any, to participate in the Distributions contemplated by the Plan."<sup>1</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

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<sup>1</sup> See e.g., In re Goodrich Petroleum Corporation, et al., No. 16-31975 (First Amended Joint Plan of Reorganization Pursuant to Chapter 11 of the Bankruptcy Code)