

NASD DISPUTE RESOLUTION AWARD
NASD DISPUTE RESOLUTION, INC.

CASE:00-00091

Ralph L. Butts, claimant vs. Fairchild Financial Group, respondent.

ATTORNEYS:

Claimant appeared Pro Se, Greeley, CO.

Respondent did not appear in this matter.

DATE FILED: January 11, 2000

CASE SUMMARY: Claimant alleged that respondent failed to execute his sell order of DECG stock in a timely manner thereby causing losses in his account.

Claim Data

Claim: \$3,900.00
Interest: \$1,275.00
Filing Fees: \$200.00
Other: \$4.00

Award Data

Award: \$3,900.00
Interest: \$1,191.00
Filing Fees: \$.00
Other: \$.00

AWARD: The undersigned arbitrator has decided and determined in full and final resolution of the issues submitted for determination as follows: 1) Respondent is liable and shall pay to the claimant \$3,900.00. 2) Respondent is liable and shall pay claimant interest in the amount of \$1,191.00. 3) All other relief requests are denied. 4) The \$175.00 filing fee previously deposited with NASD Dispute Resolution, Inc. by the claimant, shall be retained by NASD Dispute Resolution, Inc.

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Award 00-00091

James B. Eichberg

Sole Public Arbitrator

AFFIRMATION

I, James B. Eichberg, do hereby affirm, upon my oath as arbitrator that I am the individual described herein who executed this instrument, which is my oath and award.


James B. Eichberg


Signature Date

September 4, 2001

Date of Service (for NASD Office Use Only)